

# Results From SuperKamiookande on Atmospheric neutrino and Limits on Matter Instability

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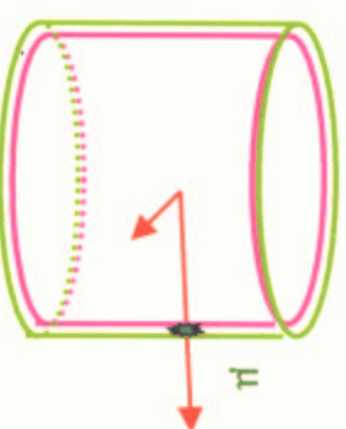
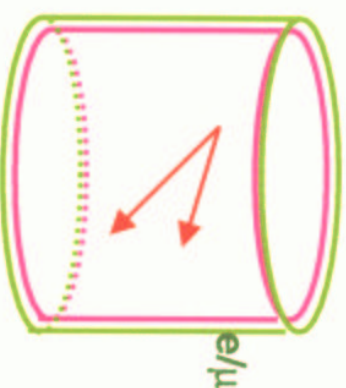
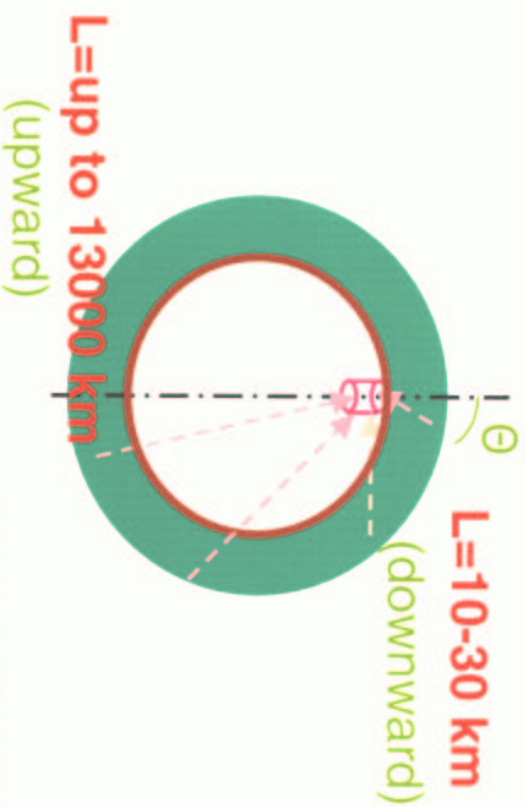
ICRR, Univ. Tokyo

For Super-Kamiookande collaboration

- atmospheric  $\nu$  oscillation
  - $\nu_{\mu} \leftrightarrow \nu_{\tau}$  two flavor oscillation analysis
  - $\nu_e \leftrightarrow \nu_{\mu} \leftrightarrow \nu_{\tau}$  three flavor oscillation analysis
- Limit on  $\nu_{\mu} \leftrightarrow \nu_s$  admixture
- $\nu$  decay
- $\tau$  detection in atmospheric  $\nu$
- Limits on Matter instability
  - $p \rightarrow e^+ \pi^0$
  - $p \rightarrow \nu K^+$



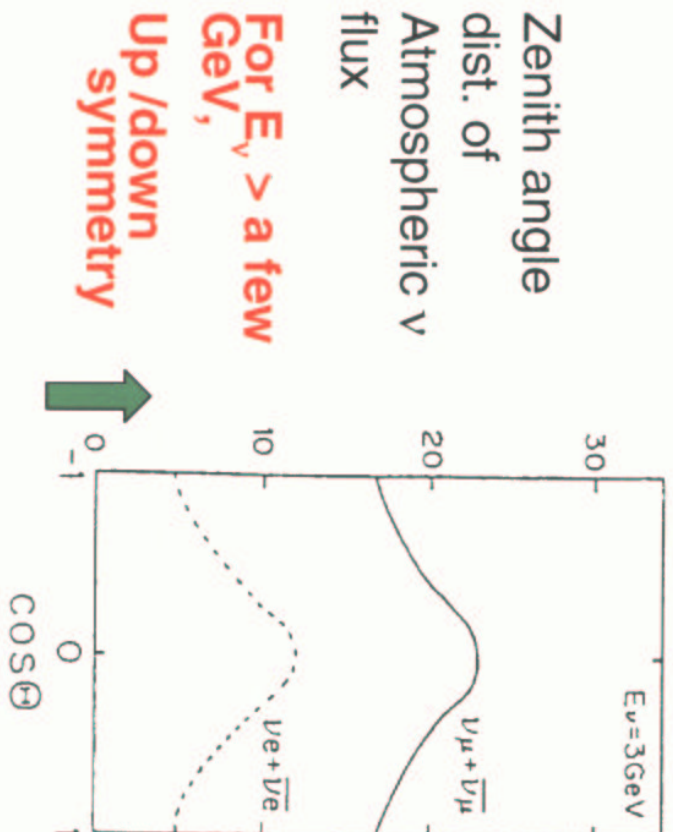
# Atmospheric $\nu$ & Events Classification



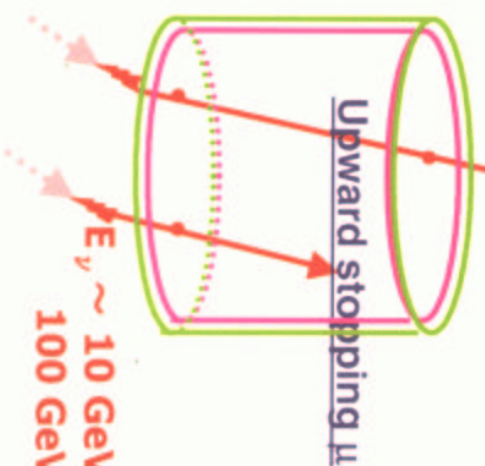
$E_\nu \sim 1$  GeV

$E_\nu \sim 10$  GeV

Upward through-going  $\mu$



For  $E_\nu >$  a few GeV,  
Up/down symmetry



$E_\nu \sim 10$  GeV (stop  $\mu$ )  
 $100$  GeV (through  $\mu$ )

# Event Summary

Fully & Partially Contained events

Livetime: 1489days

Sub-GeV (Fully Contained)

Multi-GeV

$E_{vis} < 1.33 \text{ GeV}$ ,  
 $P_o > 100 \text{ MeV}/c$ ,  $P_\mu > 200 \text{ MeV}/c$

Fully Contained ( $E_{vis} > 1.33 \text{ GeV}$ )

	Data	MC(Honda)
1ring	e-like	3081.0
	$\mu$ -like	4703.9
Multi ring	2457	2985.6
( $\mu$ -like)	(225)	(333.9)
Total	8904	10770.5

	Data	MC(Honda)
1ring	e-like	707.8
	$\mu$ -like	968.2
Multi ring	1532	1903.5
( $\mu$ -like)	(457)	(719.3)
Total	2968	3579.4

Partially Contained (assigned as  $\mu$ -like)

Total	913	1230.0
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Upward going  $\mu$

Up through-going  $\mu$ , 1678days, Obs.  $1.70 \pm 0.04 \pm 0.02$  ( $\times 10^{-13} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$ )

Theo.  $1.84 \pm 0.41$  (Honda)

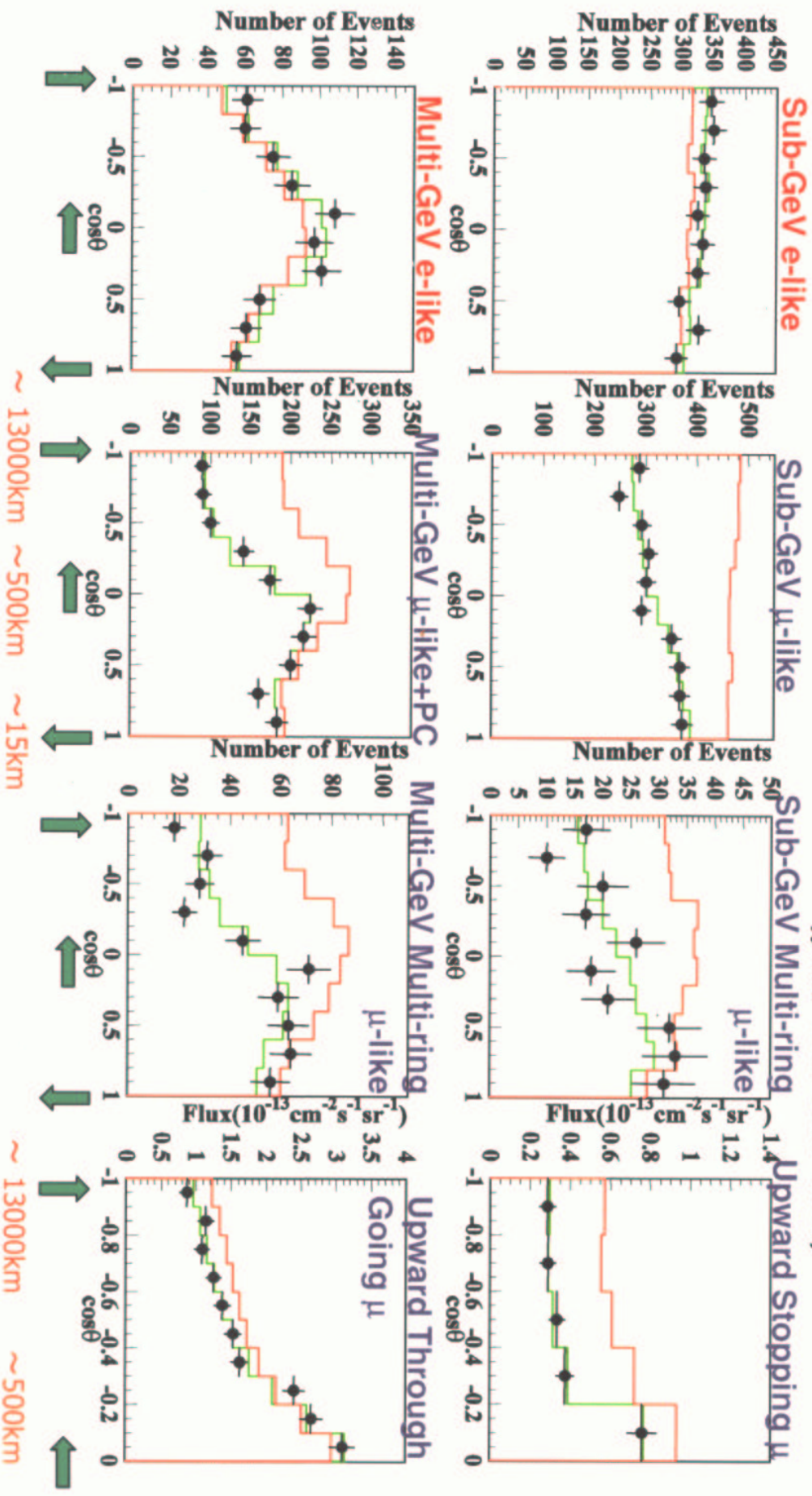
Up stopping  $\mu$ , 1657days, Obs.  $0.41 \pm 0.02 \pm 0.02$  ( $\times 10^{-13} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$ )

Theo.  $0.68 \pm 0.15$  (Honda)

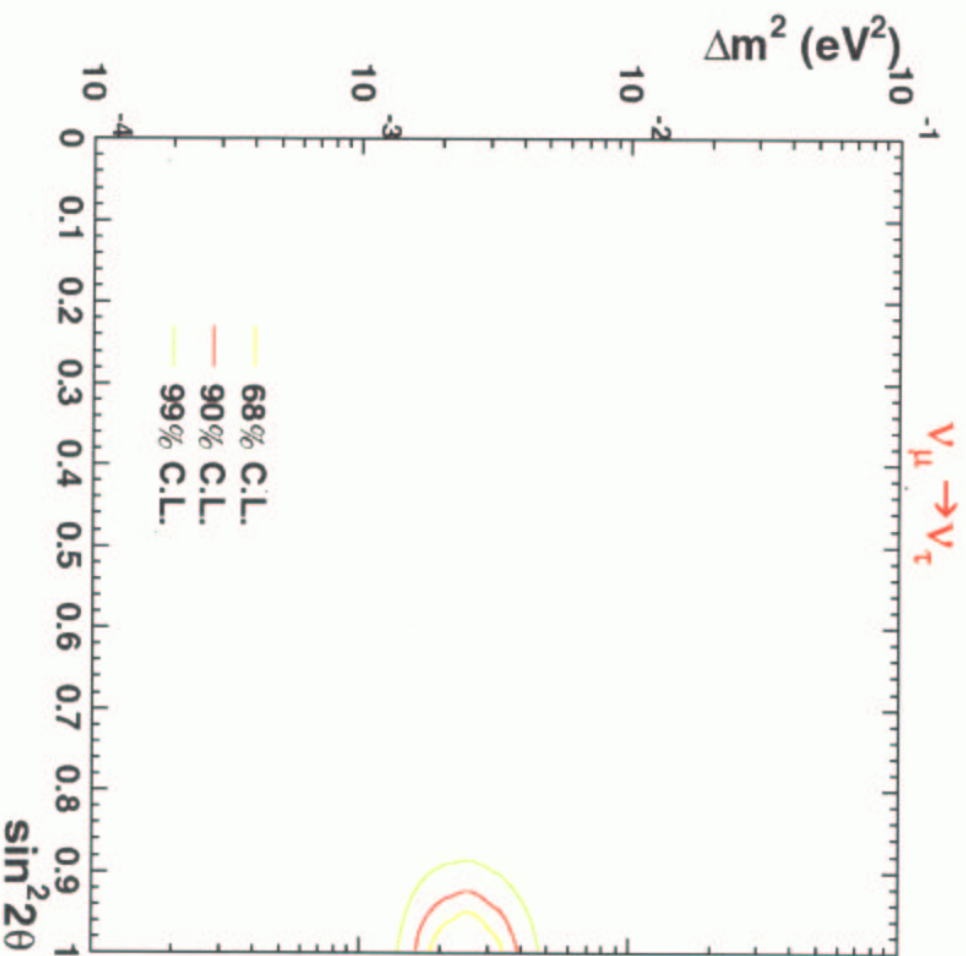
# Zenith Angle Distributions

$\nu_\mu \leftrightarrow \nu_\tau$   
2-flavor oscillations

— Null oscillation  
— Best fit ( $\chi^2=456.5/172$  d.o.f)  
 $\chi^2_{\min}=163.2/170$  d.o.f,  $\sin^2\theta=1.0$



# Allowed Region



## $\nu_{\mu} \leftrightarrow \nu_{\tau}$ oscillations

### Best fit

$$(\Delta m^2 = 2.5 \times 10^{-3}, \sin^2 2\theta = 1.0)$$

$$\chi^2_{\min} = 163.2 / 170 \text{ d.o.f.}$$

### No oscillation

$$(\chi^2 = 456.5 / 172 \text{ d.o.f.})$$

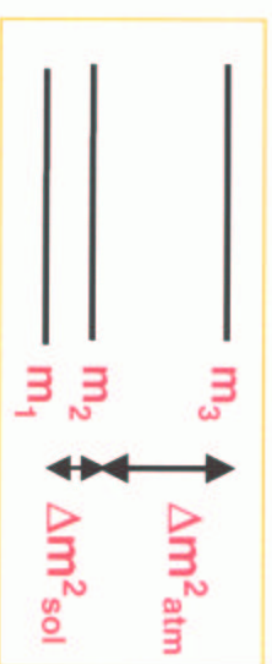
$$\Delta m^2 = (1.6 \sim 3.9) \times 10^{-3} \text{ eV}^2$$

$$\sin^2 2\theta > 0.92 \text{ @ } 90\% \text{ C.L.}$$

# 3-flavor Oscillation Analysis

assuming  $\Delta m^2_{23} = \Delta m^2_{\text{atm}} > 0 (10^{-3}) \text{ eV}^2$

$$\Delta m^2_{12} = \Delta m^2_{\text{sol}} = 0 \text{ eV}^2$$

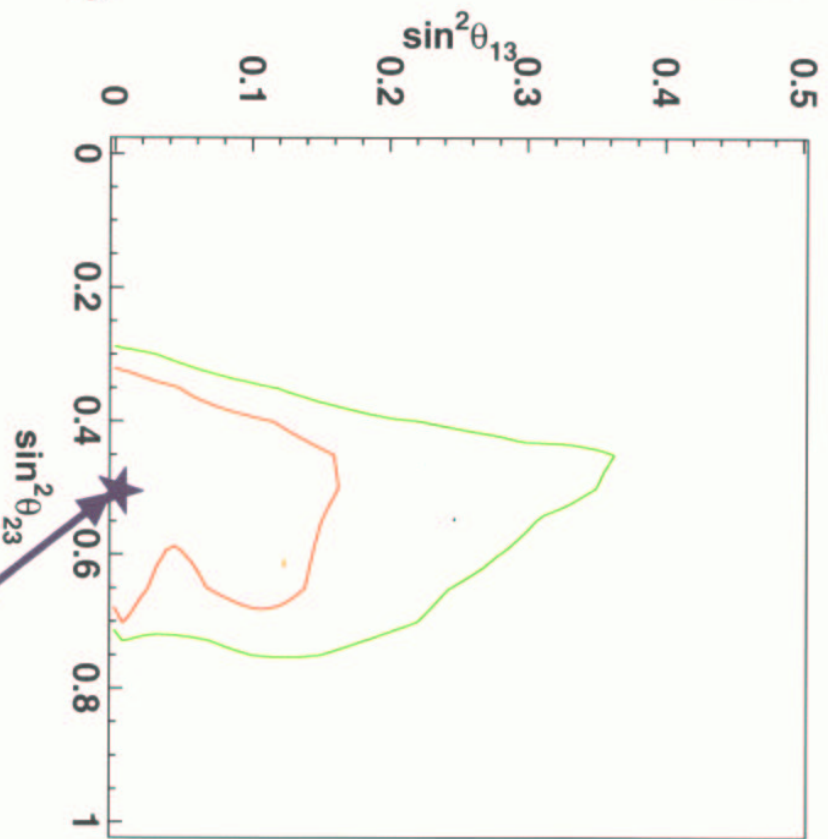
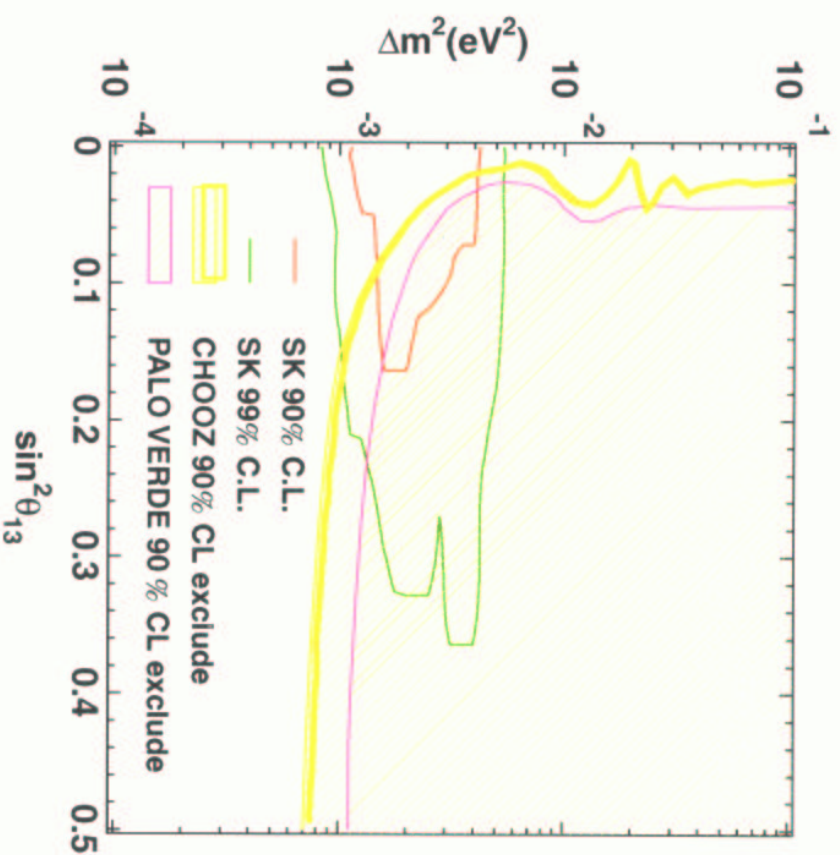


neutrino oscillation probabilities are described as;

$$\begin{aligned} P(\nu_e \rightarrow \nu_\mu) &= \sin^2(2\theta_{13}) \times \sin^2\theta_{23} \quad \times \sin^2(1.27\Delta m^2 L/E) \\ P(\nu_\mu \rightarrow \nu_\tau) &= \cos^4\theta_{13} \quad \times \sin^2(2\theta_{23}) \times \sin^2(1.27\Delta m^2 L/E) \\ P(\nu_\tau \rightarrow \nu_e) &= \sin^2(2\theta_{13}) \times \cos^2\theta_{23} \quad \times \sin^2(1.27\Delta m^2 L/E) \end{aligned}$$

3 parameters;  $\Delta m^2_{23} = \Delta m^2_{13}$ ,  $\theta_{13}$ ,  $\theta_{23}$

# Allowed Region For 3-flavor Oscillations



Pure maximal  $\nu_\mu \leftrightarrow \nu_\tau$  oscillation

**No evidence for non zero  $\theta_{13}$**   
**Consistent with pure  $\nu_\mu \leftrightarrow \nu_\tau$  oscillations**

# Limit on $\nu_\mu \leftrightarrow \nu_s$ admixture

*Oscillation Probability* is described by 3 parameters;

$$\Delta m^2(\text{atm}), \sin^2 2\theta, \sin^2 \xi$$

Admixture state is shown as;

$$\nu_\mu \rightarrow \cos \xi \nu_\tau + \sin \xi \nu_s$$

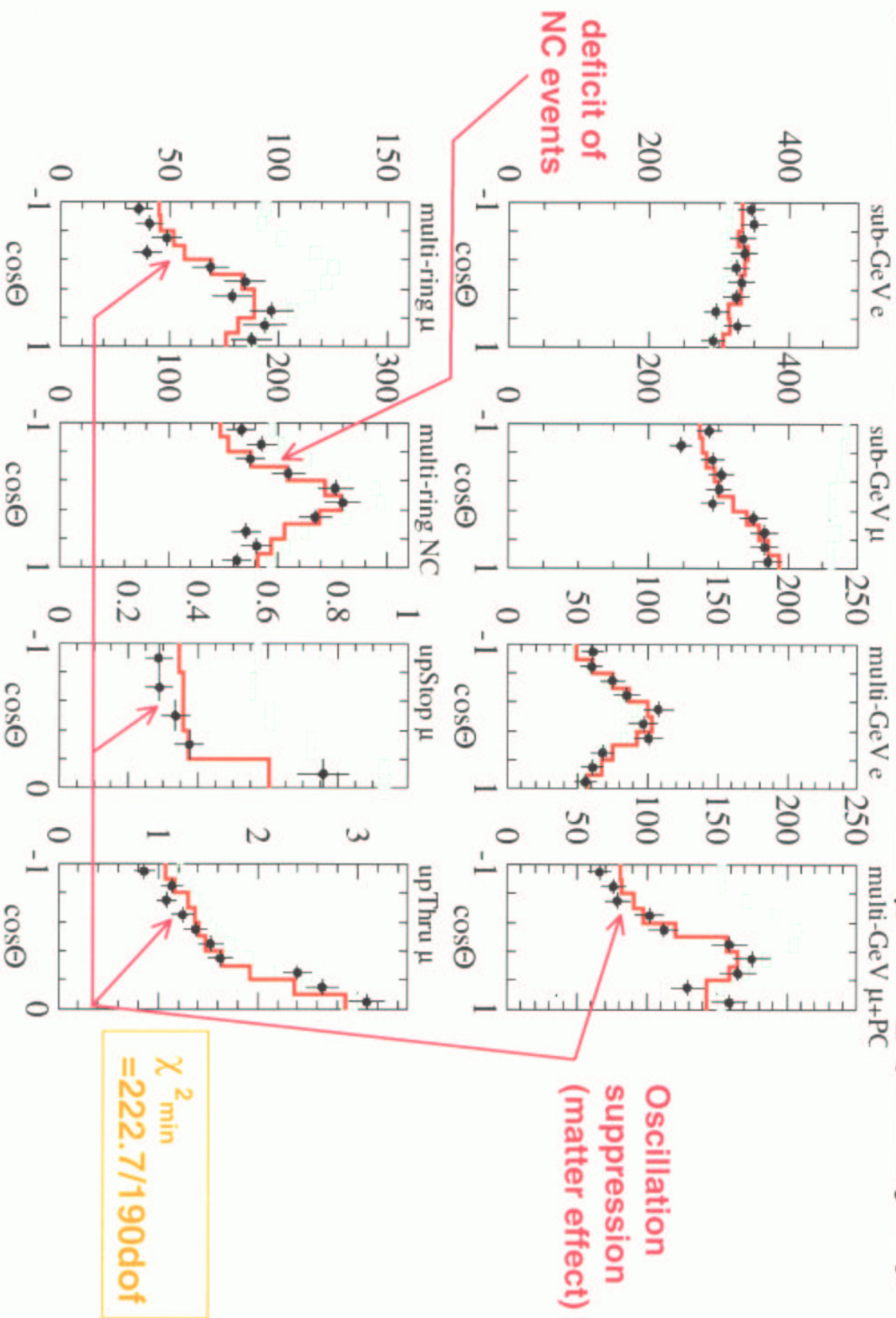
$$\begin{aligned} \sin^2 \xi = 0; & \text{ pure } \nu_\mu \rightarrow \nu_\tau \\ \sin^2 \xi = 1; & \text{ pure } \nu_\mu \rightarrow \nu_s \end{aligned}$$

If nonzero  $\sin^2 \xi$

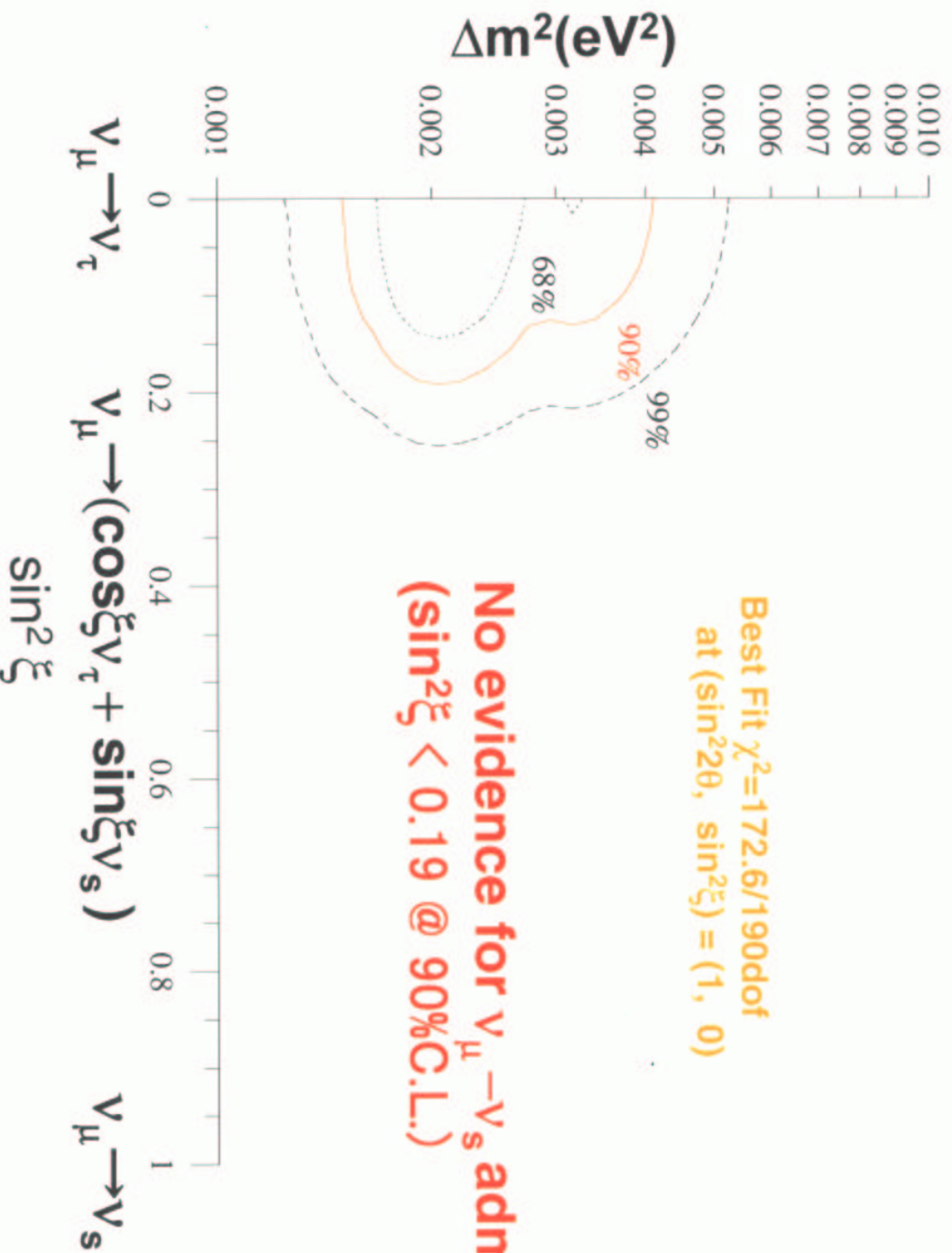


- oscillation suppression happens at multi-GeV region due to matter effect
- deficit of NC events in upward bins is expected

# Zenith angle distribution for Pure $\nu_\mu \leftrightarrow \nu_s$ ( $\sin^2 \xi = 1$ )



# Limit on $\nu_\mu - \nu_s$ Admixture



# Neutrino Decay

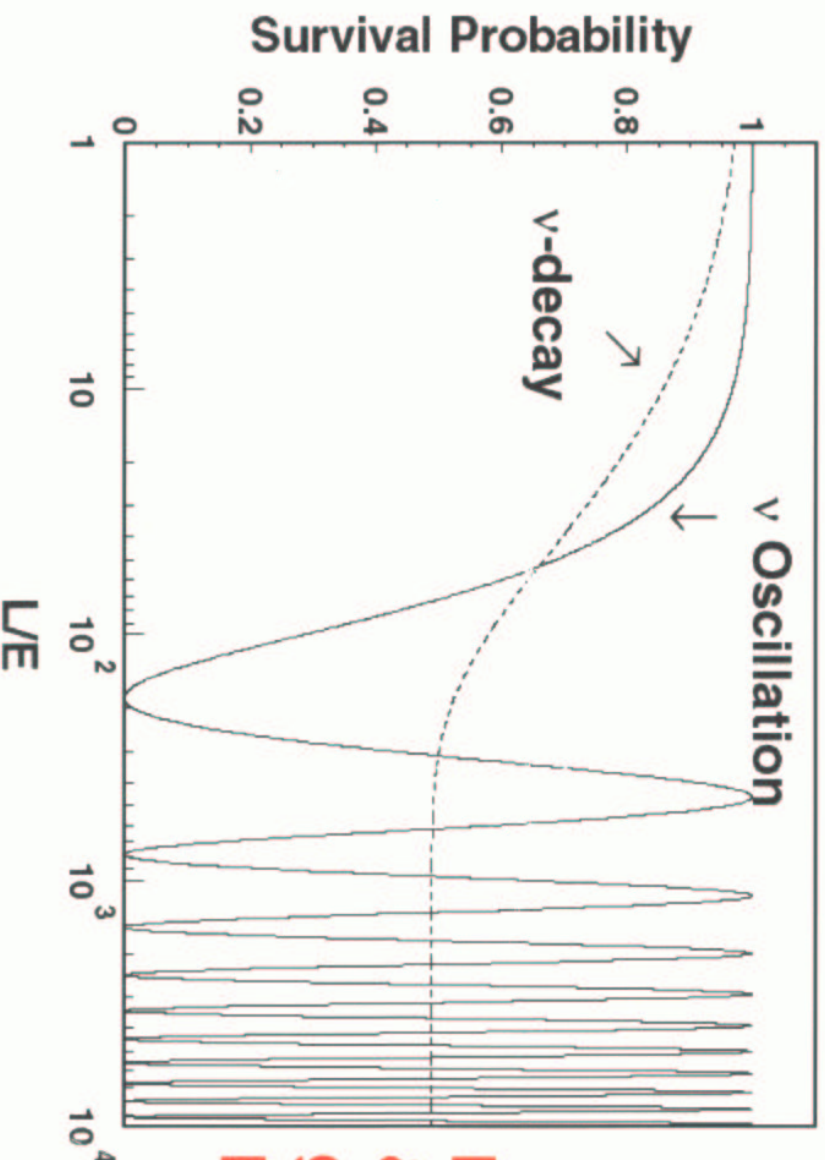
If  $\nu$  consists of 2 mass eigenstates

and decay channel exists ( $\nu_3 \Rightarrow X$ (sterile state))

**Survival probability**

**without oscillation( $\Delta m^2 \rightarrow 0$ );**

$$P(\nu_\mu \rightarrow \nu_\mu) = (\cos^2 \vartheta + \sin^2 \vartheta \cdot \exp(-\frac{m_3}{2\tau_3} \frac{L}{E}))^2$$

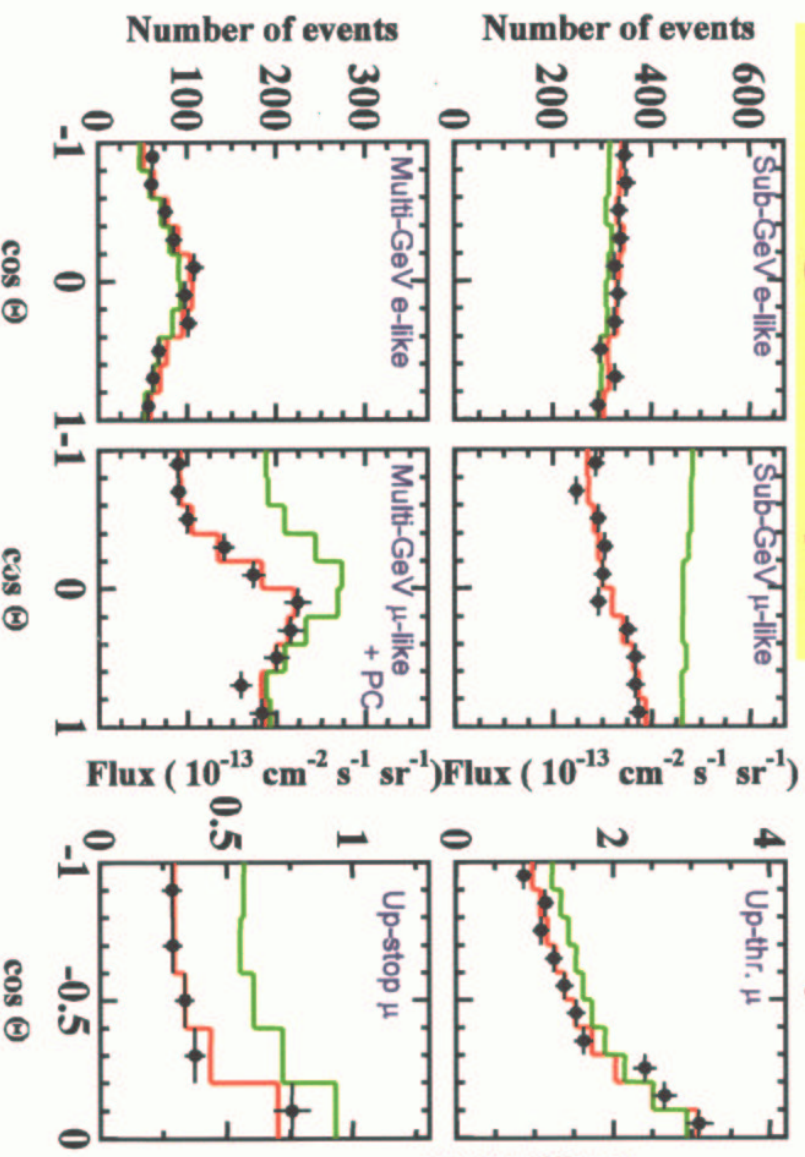


**Neutrino decay & Neutrino oscillation give a similar survival probability**

# Zenith angle distribution

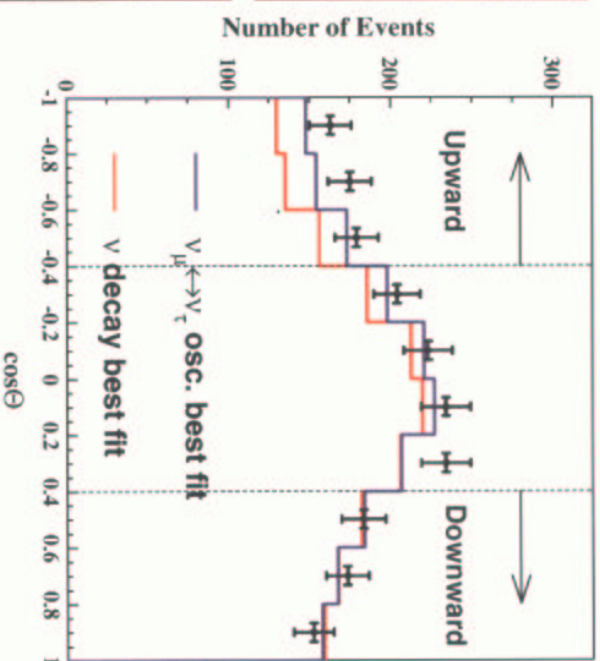
FC 1-ring + PC + up- $\mu$

• Data — Null Decay — Best fit



FC 1-ring + PC + up- $\mu$  data are consistent with neutrino decay scenario

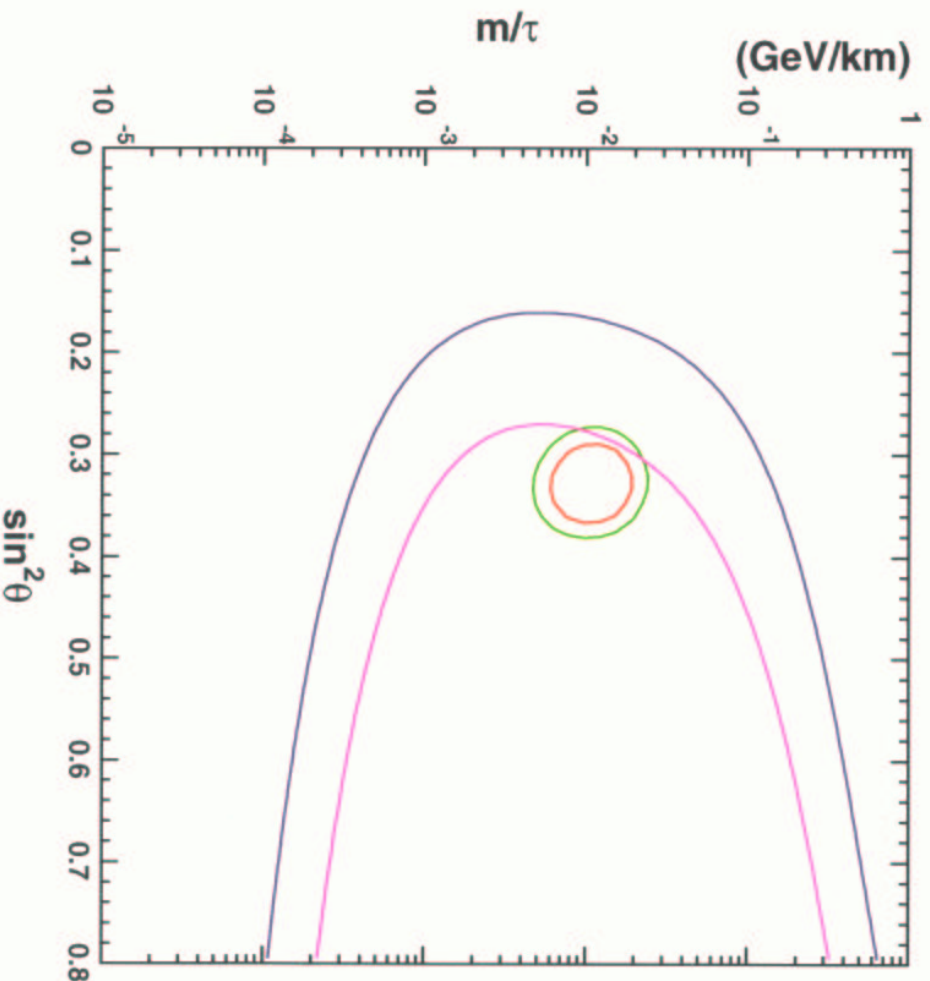
NC sample



Deficit of up-going NC events is expected for  $\nu$  decay scenario.

But NC sample is not consistent with neutrino decay scenario

# Allowed Region



**FC 1-ring+PC+up- $\mu$**

— 90% C.L. Allowed

— 99% C.L. Allowed

**NC sample**

— 90% C.L. Excluded

— 99% C.L. Excluded

The 99% C.L. allowed region by FC 1-ring+PC+up- $\mu$  is almost excluded at 99% C.L. by the NC sample.

# $\tau$ detection in atmospheric $\nu$

$\nu_\mu \rightarrow \nu_s$  oscillation is disfavored

$\nu_\mu \rightarrow \nu_\tau$   $\rightarrow$  CC tau events

## Selection Criteria

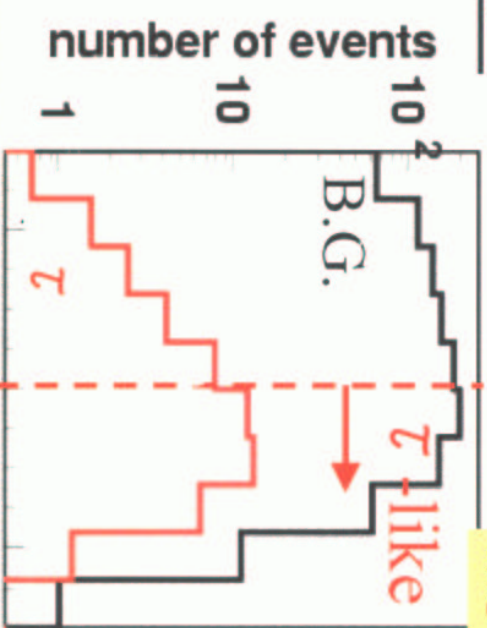
- multi-GeV, multi-ring, single-ring
- most energetic ring is e-like
- $\log(\text{likelihood}) > 1$  (single-ring)
- $> 0$  (multi-ring)

$\tau$  likelihood is defined using:

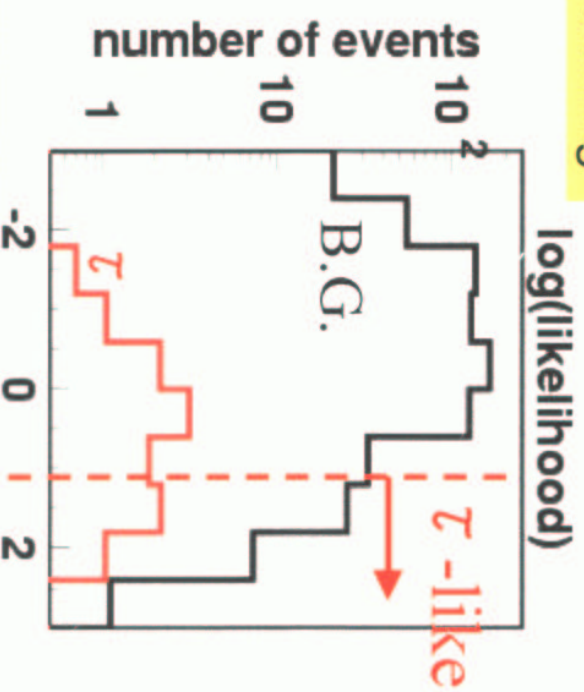
- total energy
- number of rings
- number of decay electrons
- $\max(E_i) / \sum E_i$
- distance between  $\nu$  interaction point and decay-e point
- $\max(P_\mu^{3/4})$
- $P_t / E_{\nu s}$
- PID likelihood of most energetic ring

$\tau$ -like selection;  $\text{eff}\tau=44\%$ ,

S/N=8%



Multi-ring



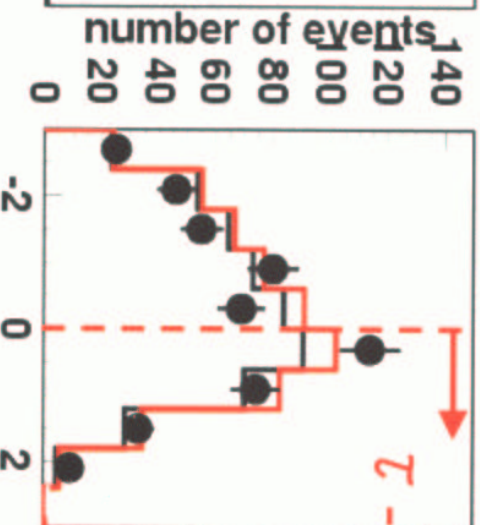
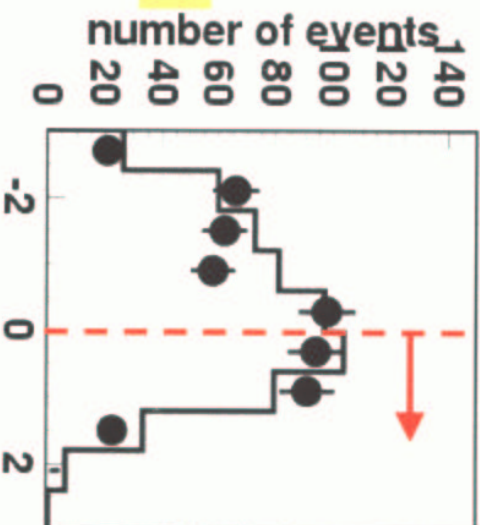
Single-ring  $\log(\text{likelihood})$

# Likelihood Distributions

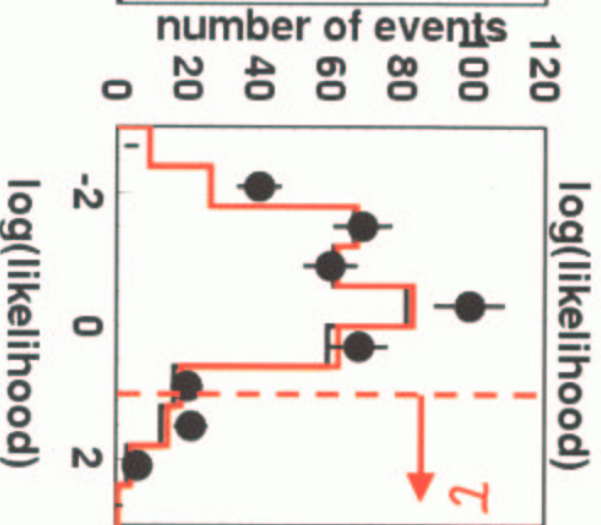
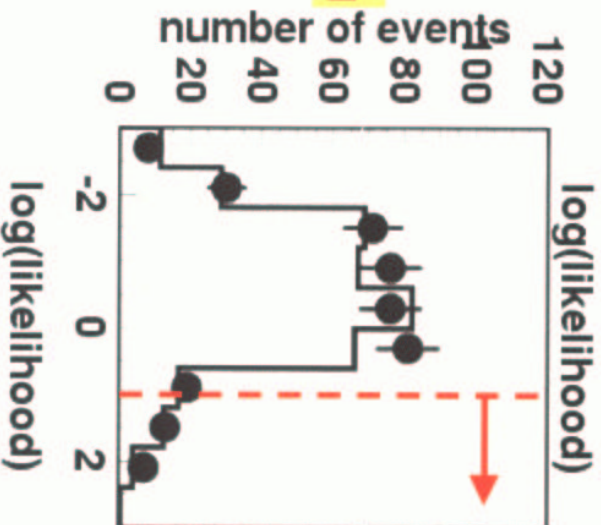
Downward

Upward

Multi-ring



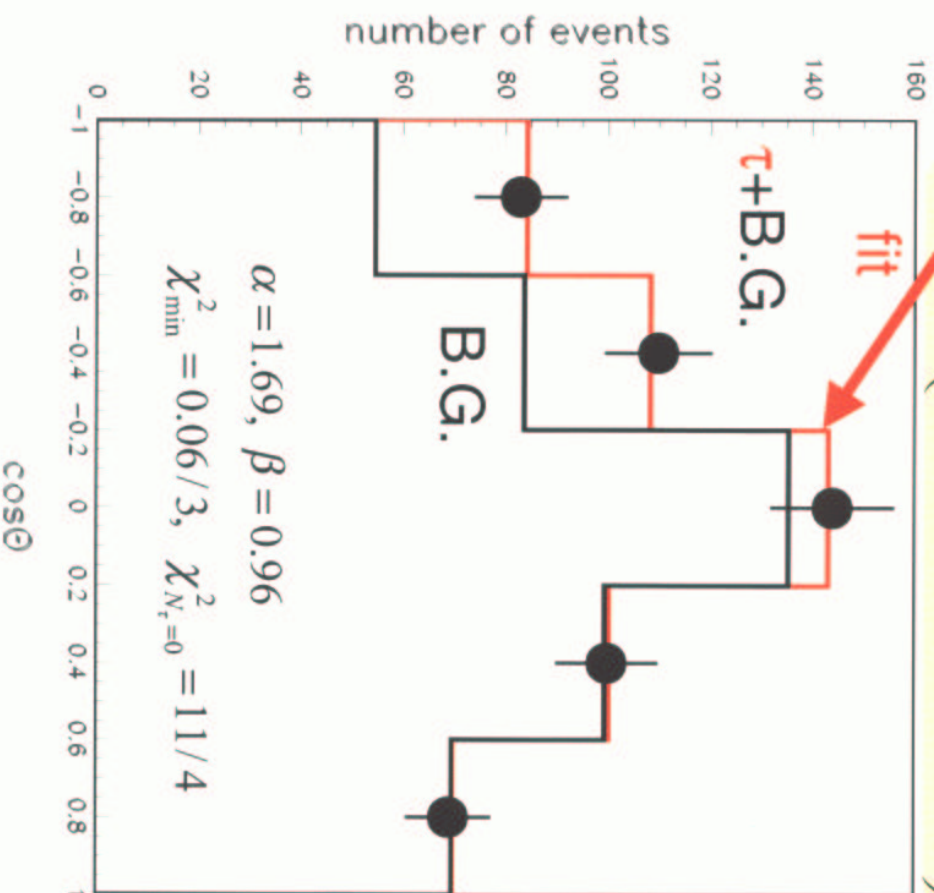
Single-ring



— BG MC  
—  $\tau$ +BG MC  
● DATA

# Zenith Angle Distribution

$$\chi^2 = \sum_{\cos\Theta}^5 \left( \frac{N_{data} - (\alpha N_{MC}^{\tau} + \beta N_{MC}^{BG})}{\sigma} \right)^2$$



■  $N_{\tau}^{FC} = \alpha N_{MC}^{\tau} / (\text{eff.} = 0.44)$   
 $= 145 \pm 44 (\text{stat.})$   
 $+ 11 / -16 (\text{syst.})$   
 $N_{exp} = 86$

■ consistent with  $\nu_{\mu} \leftrightarrow \nu_{\tau}$

■ another analysis  
 (neural network)  
 gives similar result:

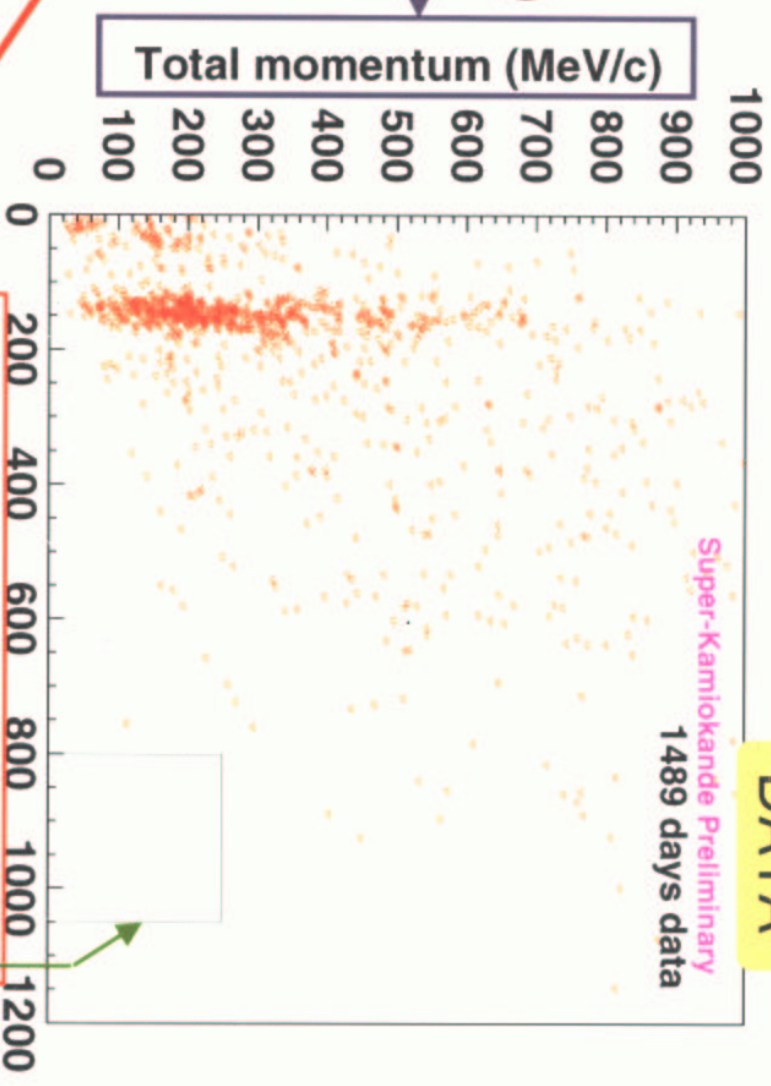
$N_{\tau}^{FC} = 99 \pm 39 (\text{stat.})$   
 $+ 13 / -21 (\text{syst.})$

# Limits on Matter instability

# Proton decay( $P \rightarrow e^+\pi^0$ mode)

DATA

Super-Kamiokande Preliminary  
1489 days data



- Selection criteria
  - 2 or 3 ring, all ring e-like
  - $85 < M_{\pi^0} < 185 \text{ MeV}/c^2$  (3ring)
  - No decay-e
  - $P_p < 250 \text{ MeV}/c$
  - $800 < M_p < 1050 \text{ MeV}/c^2$
- Result
  - efficiency 43%
  - 0.2 estimated B.G.
  - no candidate
  - $\tau_p / B_{e\pi^0} > 5.7 \times 10^{33} \text{ yrs}$  (@90% C.L.)

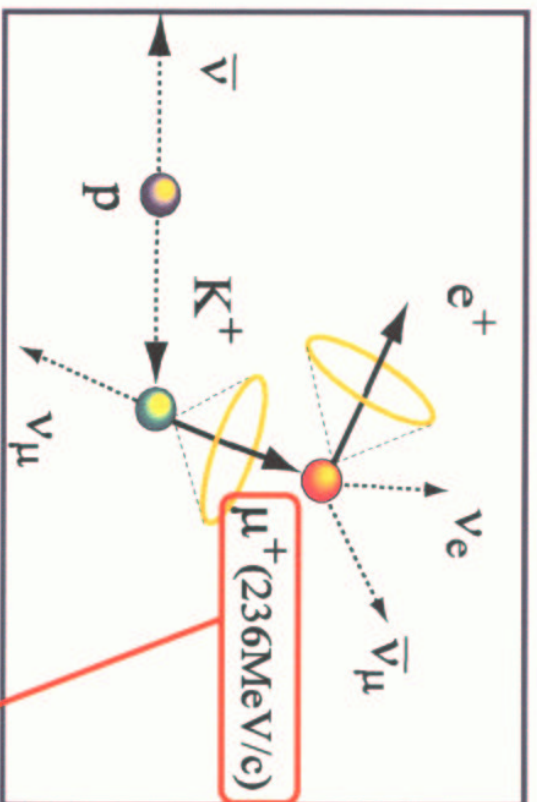
Signal box

## Proton decay ( $P \rightarrow \nu K^+$ mode)

1.  $p \rightarrow \nu K^+, K^+ \rightarrow \mu^+ \nu$  Spectrum Analysis
2.  $p \rightarrow \nu K^+, K^+ \rightarrow \mu^+ \nu$  Prompt  $\nu$  Search
3.  $p \rightarrow \nu K^+, K^+ \rightarrow \pi^+ \pi^0$

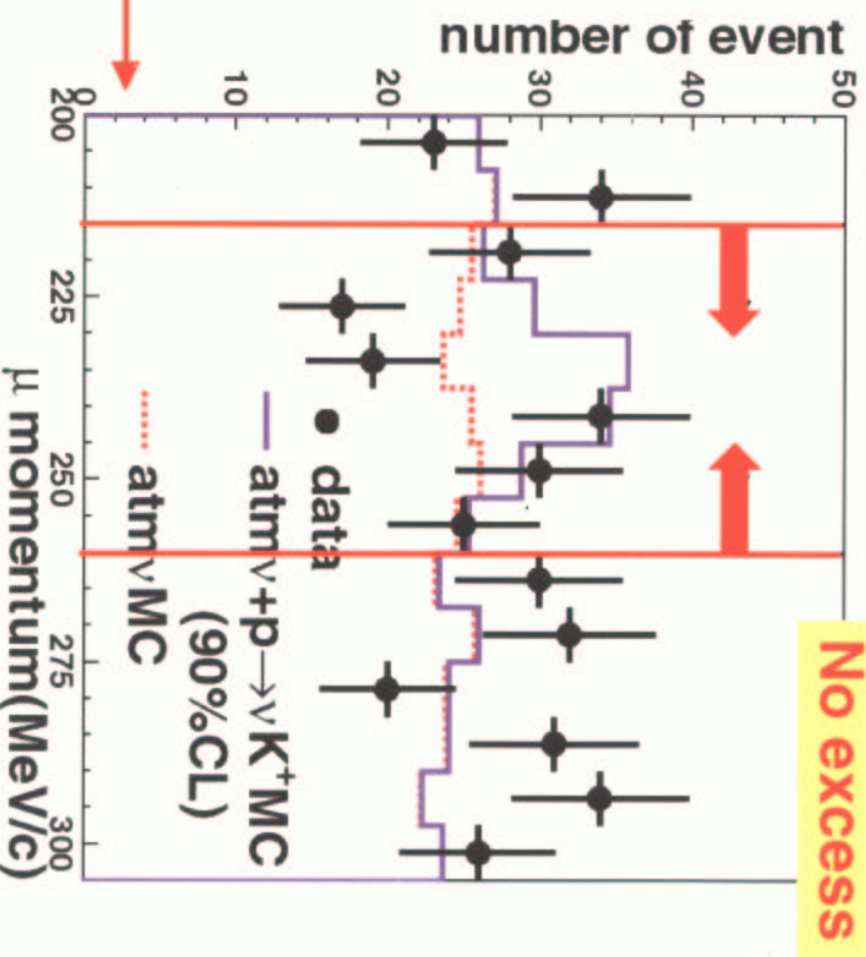
# Proton decay ( $P \rightarrow \nu K^+$ mode)

$p \rightarrow \nu K^+, K^+ \rightarrow \mu^+ \nu$  spectrum analysis



## selection criteria

- 1-ring,  $\mu$ -like
- $215 < p_\mu < 260 \text{ MeV}/c$
- 1 decay-e
- proton rejection cut
- $N_{\text{yhit}} \leq 7$   
(No prompt  $\gamma$  hit)

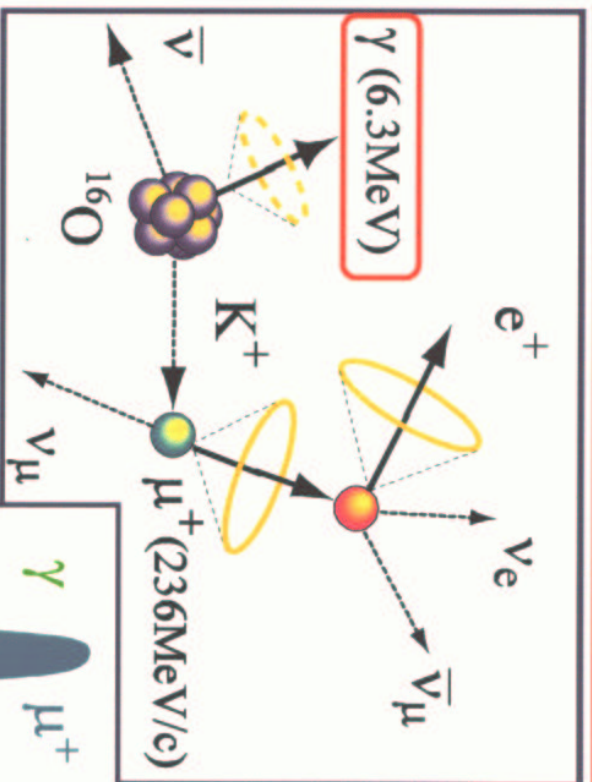


## result

- 33.0% detection efficiency
- no excess

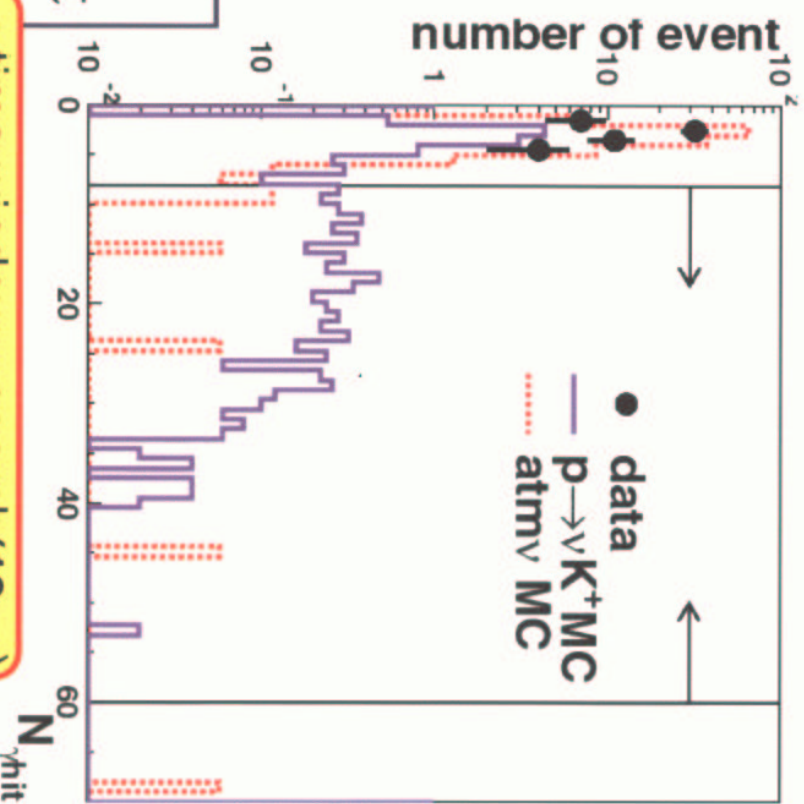
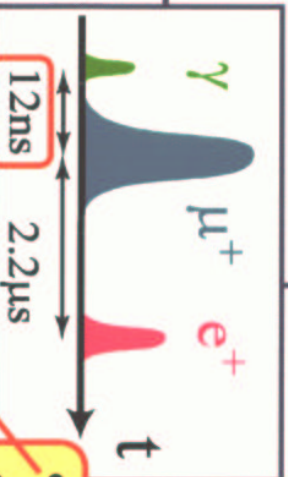
# Proton decay ( $P \rightarrow \nu K^+$ mode)

$p \rightarrow \nu K^+, K^+ \rightarrow \mu^+ \nu$  prompt  $\gamma$  search



selection criteria

- 1 ring  $\mu$ -like
- $215 < P_{\mu} < 260 \text{ MeV}/c$
- proton rejection cut
- 1 decay-e
- $7 < N_{\text{hit}} < 60$  (prompt  $\gamma$ )



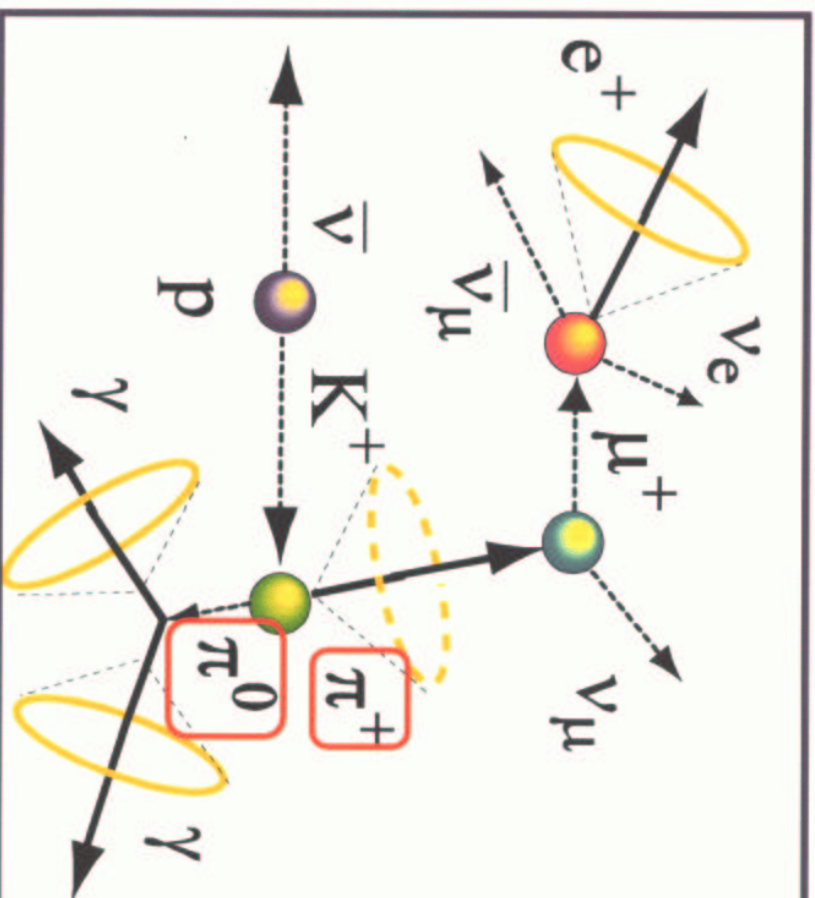
time window  $\gamma$  search (12ns)  
prompt  $\gamma$  signal candidate

result

- 0.3 expected background
- 8.7% detection efficiency
- no candidate event

# Proton decay ( $P \rightarrow \nu K^+$ mode)

$$p \rightarrow \nu K^+, K^+ \rightarrow \pi^+ \pi^0$$



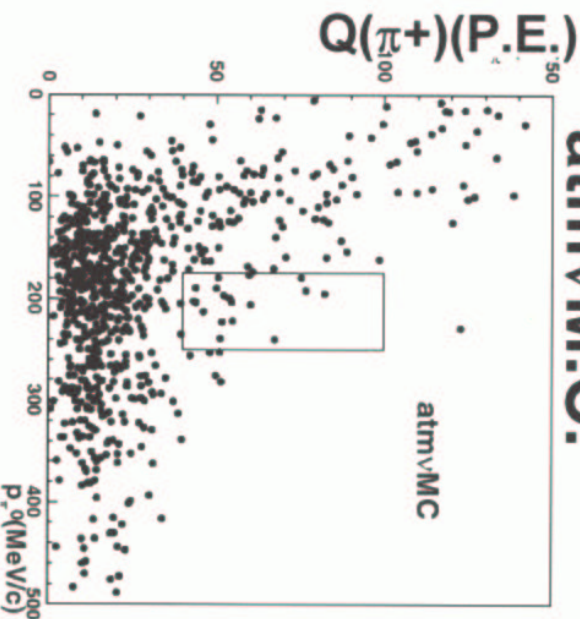
## selection criteria

- 2 e-like ring
- $85 < m(\pi^0) < 185 \text{ MeV}/c^2$
- $40 < Q_{\pi^+} < 100 \text{ p.e.}$ ,
- $Q_{\text{res}} < 70 \text{ p.e.}$
- $175 < P(\pi^0) < 250 \text{ MeV}/c$
- 1 decay-e

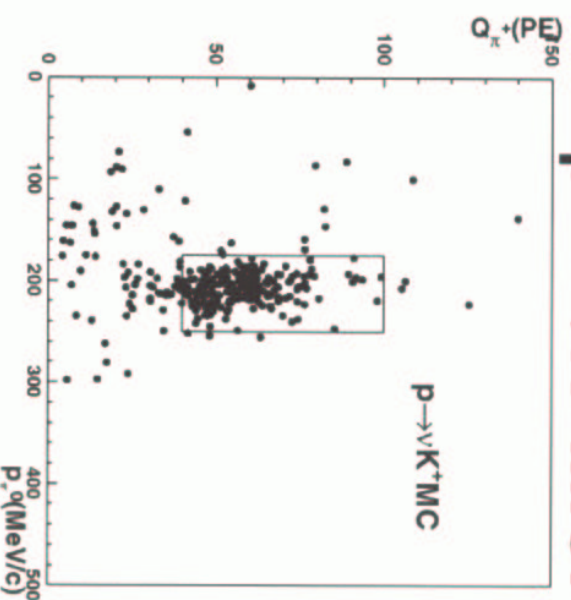
# Proton decay ( $P \rightarrow \nu K^+$ mode)



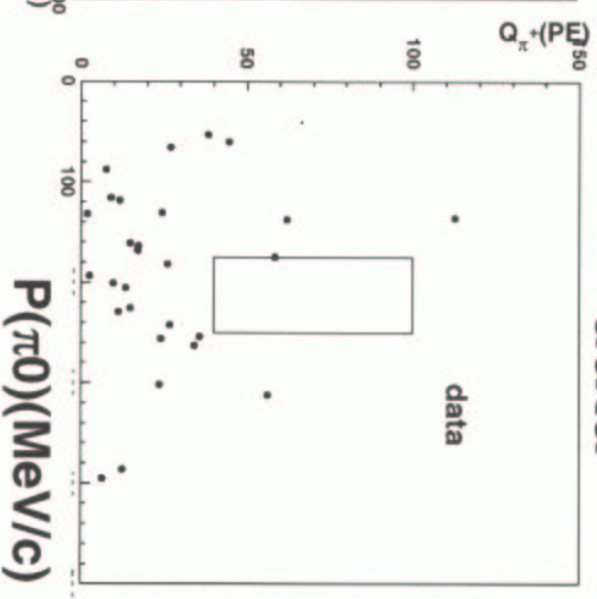
atm $\nu$ M.C.



$p \rightarrow \nu K^+$ -M.C.



data



result

- 0.9 expected background
- 6.5% detection efficiency
- no candidate event

Combined limit(3 methods)

$$\tau_p / B_{\nu K^+} > 2.0 \times 10^{33} \text{ yrs (@90\%C.L.)}$$

# Summary

Detailed studies of neutrino oscillations have been carried out using various types of atmospheric events

- $\nu_{\mu} \leftrightarrow \nu_{\tau}$  2 flavor oscillations
  - $\Delta m^2 = 1.6 \sim 3.9 \times 10^{-3} \text{ eV}^2$ ,  $\sin^2 2\theta > 0.92$  @ 90%CL
- $\nu_e \leftrightarrow \nu_{\mu} \leftrightarrow \nu_{\tau}$  3 flavor oscillations
  - No evidence for non zero  $\theta_{13}$
- Limit on  $\nu_{\mu} \leftrightarrow \nu_s$  admixture
  - No evidence for  $\nu_{\mu} - \nu_s$  admixture ( $\sin^2 2\xi < 0.19$  @ 90%CL)
- $\nu$ -decay
  - 99% C.L. allowed region by FC 1-ring+PC+up- $\mu$  is almost excluded at 99% C.L. by the NC sample
- Observed  $\tau$ -like events
  - support  $\nu_{\mu} \leftrightarrow \nu_{\tau}$

## Limits on Matter instability

- $\tau_p/B(e\pi^0) > 5.7 \times 10^{33} \text{ yrs}$  (90% C.L.)
- $\tau_p/B(\nu k^+) > 2.0 \times 10^{33} \text{ yrs}$  (90% C.L.)