

# Instanton corrected hypermultiplet moduli spaces

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August 7th, 2007

*Talk at the Fifth Simons Workshop in Mathematics and Physics  
Stony Brook University, July 30 - August 31, 2007*

We review recent progress in understanding non-perturbative instanton corrections to the hypermultiplet moduli space in type II string compactifications on Calabi-Yau threefolds.

Instanton corrections recently played a prominent role both in the context of string cosmology (KKLT models) and particle physics model building. A setup where one might hope to understand these corrections using string dualities and supersymmetry constraints on the low energy effective action (LEEA) is type II string theory compactified on a Calabi-Yau threefold (CY<sub>3</sub>). In this case the LEEA is a  $\mathcal{N} = 2$ ,  $d = 4$  supergravity action which generically receives quantum corrections from the world sheet conformal field theory ( $\alpha'$ -corrections), from higher genus world sheets (perturbative  $g_s$ -corrections), and from instanton corrections due to Euclidean branes wrapping supersymmetric cycles of the CY<sub>3</sub> (non-perturbative  $g_s$ -corrections). While the  $\alpha'$ -corrections to the classical LEEA are well understood, the non-perturbative  $g_s$  corrections [1] still pose many open questions.

Besides the supergravity gravity multiplet these LEEA contain  $n_V$  vector- and  $n_H$  hypermultiplets. Supersymmetry implies that the total moduli space  $\mathcal{M}$  of these theories factorizes into a local product  $\mathcal{M} = \mathcal{M}_{\text{VM}} \otimes \mathcal{M}_{\text{HM}}$  where  $\mathcal{M}_{\text{VM}}$  and  $\mathcal{M}_{\text{HM}}$  are parameterized by the scalars of the VM and HM, respectively. This factorization has the profound consequence that only those subsectors which contain the dilaton (volume modulus) receive  $g_s$  ( $\alpha'$ ) corrections. Furthermore, supersymmetry dictates that  $\mathcal{M}_{\text{VM}}$  be a special Kähler manifold, and  $\mathcal{M}_{\text{HM}}$  a quaternion-Kähler manifold.

For both IIA and IIB compactifications the dilaton sits in a hypermultiplet. Thus determining the  $g_s$  corrections to the LEEA requires understanding  $\mathcal{M}_{\text{HM}}$ . In this context it turns out to be useful

that in the presence of  $n_H + 1$  commuting shift symmetries<sup>1</sup>,  $\mathcal{M}_{\text{HM}}$  can equivalently be described by rigidly superconformal tensor multiplet (TM) actions [2]

$$\mathcal{L} = \oint_{\mathcal{C}} \frac{d\zeta}{2\pi i \zeta} H(\eta^I). \quad (1)$$

Here  $\eta^I = v^I/\zeta + x^I - \zeta \bar{v}^I$  denotes a  $\mathcal{N} = 2$  tensor superfield,  $H(\eta^I)$  is a weakly homogeneous function of degree 1 (i.e., logarithmic terms are allowed) with no explicit  $\zeta$ -dependence, and  $\mathcal{C}$  is an arbitrary contour in the complex  $\zeta$ -plane. Taking  $\mathcal{L}$  as a function of the scalar fields  $v^I, \bar{v}^I, x^I$ , one can define a “tensor potential” [3]

$$\chi = -\mathcal{L} + x^I \frac{\partial \mathcal{L}}{\partial x^I}. \quad (2)$$

Coupling the rigidly superconformal TM Lagrangian to conformal supergravity and carrying out the superconformal quotient both  $\mathcal{L}$  and  $\chi$  can be used to determine  $\mathcal{M}_{\text{HM}}$  [3]. Working with  $\chi$  thereby has the virtue that (discrete) symmetries of the supergravity theory, as e.g., the  $\text{SL}(2, \mathbb{Z})$  invariance of the type IIB string, are reflected by the invariance of  $\chi$ .

Building on the off-shell description [4] of the c-map [5] the perturbatively corrected hypermultiplet moduli space has been determined in [6]

$$\mathcal{L}(v, \bar{v}, x) = \text{Im} \oint_{\mathcal{C}} \frac{d\zeta}{2\pi i \zeta} \left[ \frac{F(\eta^\Lambda)}{\eta^0} \pm \frac{i \chi_E}{(2\pi)^3} 2\zeta(2) \eta^0 \ln(\eta^0) \right]. \quad (3)$$

Here  $F(\eta^\Lambda)$  is the holomorphic prepotential underlying the dual vector multiplet geometry,  $\eta^0$  is an additional TM acting as a conformal compensator and the contour encloses the branch cut between  $\zeta = 0$  and one of the zeros of  $\zeta \eta^0$ . The second term encodes the universal one-loop correction with the upper (lower) sign correspond to type IIB (type IIA) strings, respectively, with  $\chi_E$  being the Euler number of the  $\text{CY}_3$ .

Substituting (3) into (2) yields the tensor potential underlying the perturbatively corrected  $\mathcal{M}_{\text{HM}}$ . For type IIB the corresponding expression naturally splits into a classical part,  $\chi_{\text{cl}}$ , perturbative  $\alpha'$  and  $g_s$  corrections,  $\chi_{\text{pt}}$ , and non-perturbative world-sheet instanton contributions,  $\chi_{\text{ws}}$ :

$$\begin{aligned} \chi_{\text{cl}} &= 4 r^0 \tau_2^2 \frac{1}{3!} \kappa_{abc} t^a t^b t^c, & \chi_{\text{pt}} &= \frac{1}{(2\pi)^3} r^0 \chi_E [\zeta(3) \tau_2^2 + 2\zeta(2)], \\ \chi_{\text{ws}} &= -\frac{r^0 \tau_2^2}{(2\pi)^3} \sum_{k_a} n_{k_a} \left[ \text{Li}_3(e^{2\pi i k_a z^a}) + 2\pi k_a t^a \text{Li}_2(e^{2\pi i k_a z^a}) + c.c. \right]. \end{aligned} \quad (4)$$

These are determined by the triple intersection numbers  $\kappa_{abc}$ , the Euler number  $\chi_E$  and the Gopakumar-Vafa invariants  $n_{k_a}$  of the  $\text{CY}_3$ . The one-loop correction gives rise to the second term in  $\chi_{\text{pt}}$  which is suppressed by  $\tau_2^{-2} = g_s^2$  compared to the three-level terms.

<sup>1</sup>In the case of string compactifications these shift symmetries naturally arise from the reduction of the p-forms in the ten-dimensional type II supergravity actions and are preserved in the presence of D(-1) and D1 instanton corrections in type IIB or A-type membrane instanton corrections in type IIA.

In order to determine the D(-1) and D1-brane instanton corrections to the IIB LEEA we make use of the non-perturbative  $SL(2, \mathbb{Z})$  invariance of the type IIB string. The modular transformation properties of the four-dimensional scalars can be found by tracing the transformation properties of the ten-dimensional IIB supergravity fields through the dimensional reduction [7]

$$\tau \mapsto \frac{a\tau + b}{c\tau + d}, \quad t^a \mapsto t^a |c\tau + d|, \quad b^a \mapsto db^a + c c^a, \quad c^a \mapsto b b^a + a c^a. \quad (5)$$

The modular transformation of the conformal compensator  $r^0 \mapsto r^0 |c\tau + d|$  is determined by lifting the action of  $SL(2, \mathbb{Z})$  to superspace [8].

Applying the  $SL(2, \mathbb{Z})$  transformations (5) to (4) one finds that  $\chi_{\text{cl}}$  is modular invariant while the  $\alpha'$  and  $g_s$  corrections break the  $SL(2, \mathbb{Z})$  invariance. Restoring  $SL(2, \mathbb{Z})$  invariance by a modular completion of  $\chi_{\text{pt}}$  and  $\chi_{\text{ws}}$  gives

$$\begin{aligned} \chi_{(-1)}^{\text{IIB}} &= \frac{r^0 \tau_2^{1/2}}{2(2\pi)^3} \chi_E \sum'_{m,n} \frac{\tau_2^{3/2}}{|m\tau + n|^3}, \\ \chi_{(1)}^{\text{IIB}} &= -\frac{r^0 \tau_2^{1/2}}{(2\pi)^3} \sum_{k_a} n_{k_a} \sum'_{m,n} \frac{\tau_2^{3/2}}{|m\tau + n|^3} (1 + 2\pi |m\tau + n| k_a t^a) e^{-S_{m,n}}, \end{aligned} \quad (6)$$

and determines the corrections of the D(-1) and D(1) instanton corrections, respectively. Here

$$S_{m,n} = 2\pi k_a (|m\tau + n| t^a - im c^a - in b^a), \quad (7)$$

is the instanton action of a  $(m, n)$ -string with  $m$  units of D(1) and  $n$  units of fundamental string charge.

By the SYZ construction of mirror symmetry [9], the instanton contributions (6) are mirror to a certain class of membrane instantons, i.e., Euclidean D2-branes, wrapping so-called A-cycles of the mirror  $CY_3$ . The corresponding mirror map in the hypermultiplet sector is given by [10]

$$\phi_{\text{IIA}} = \phi_{\text{IIB}}, \quad A^1 = \tau_1, \quad A^a = -(c^a - \tau_1 b^a), \quad z_{\text{IIA}}^a = z_{\text{IIB}}^a. \quad (8)$$

Furthermore, implementing mirror symmetry requires some rearrangements of the various contributions to the type IIB tensor potential which is illustrated in table 1. The resulting corrections due to D2-brane instantons was then given in [10]

$$\chi_{\text{A-D2}}^{\text{IIA}} = -\frac{r^0 \tau_2}{2\pi^2} \sum_{k_\Lambda} n_{k_\Lambda} \sum_{m \neq 0} \frac{|k_\Lambda z^\Lambda|}{|m|} K_1(2\pi \tau_2 |m k_\Lambda z^\Lambda|) e^{-2\pi i m k_\Lambda A^\Lambda}. \quad (9)$$

Here,  $k_\Lambda = (n, k_a)$ ,  $z^\Lambda = (1, z^a)$ ,  $A^\Lambda = (A^1, A^a)$ , and the sum over  $k_a$  now includes the zero-vector  $k_a = 0$ , but  $k_\Lambda = 0$  is excluded. The type IIA “instanton numbers” are

$$n_{(n, k_a=0)} = \frac{1}{2} \chi_E(X), \quad n_{(n, k_a)} = n_{k_a} \text{ as in type IIB}, \quad (10)$$

with  $\chi_E(X)$  the Euler number of the  $CY_3$  and  $n_{k_a}$  the Gopakumar-Vafa invariants of the *mirror*  $CY_3$ . This indicates a deep connection between the properties of holomorphic two-cycles (counted by the Gopakumar-Vafa invariants) and special Lagrangian three-cycles of the mirror manifold.

IIB HM	SL(2, $\mathbb{Z}$ )-invariant	IIA HM	composed from IIB terms
$\chi_{\text{cl}}$	$= \chi_{\text{cl}}$	$\chi_{\text{tree}}$	$= \chi_{\text{cl}} + \chi_{\text{ws-pert}} + \chi_{\text{ws-inst}}$
$\chi_{(-1)}$	$= \chi_{\text{ws-pert}} + \chi_{\text{loop}} + \chi_{D(-1)}$	$\chi_{\text{loop}}$	$= \chi_{\text{loop}}$
$\chi_{(1)}$	$= \chi_{\text{ws-inst}} + \chi_{D1}$	$\chi_{A-D2}$	$= \chi_{D(-1)} + \chi_{D1}$

Table 1: Rearrangement of contributions of the type IIB tensor potential under mirror symmetry.

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