

# Scaling and Renormalization in Fault-Tolerant Quantum Computers

---

**Maxim Raginsky**

Center for Photonic Communication and Computing  
Department of Electrical and Computer Engineering  
Northwestern University, Evanston, IL 60208-3118

E-mail: [maxim@ece.northwestern.edu](mailto:maxim@ece.northwestern.edu)

Web: <http://www.ece.northwestern.edu/~maxim>



# Fault-Tolerant Quantum Computation

Big Question: is it possible to run an arbitrarily long quantum computation in the presence of errors?

First Crack: **Shor (1996)** - yes, provided that *error rate is polylogarithmically small in circuit size*; a physically unreasonable assumption!

State-of-the-Art: **Kitaev (1997), Knill, Laflamme, and Zurek (1998), Preskill (1998), Aharonov and Ben-Or (1999)** - yes, provided that *error rate is smaller than some threshold value  $\eta_c$* ; requires polylogarithmic complexity overhead

# The Scoop on Aharonov and Ben-Or

Rigorous proofs of the “threshold theorem” for:

- local stochastic noise
- noise with exponentially decaying correlations
- general noise

(including computers with qubits arranged on the hypercubic lattice  $\mathbf{Z}^d$ ,  $d=1,2,\dots$ , with gates applied to nearest neighbors only)

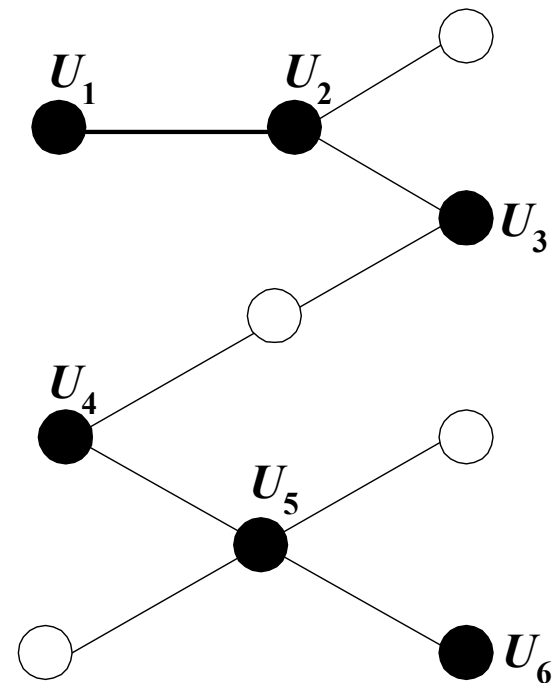
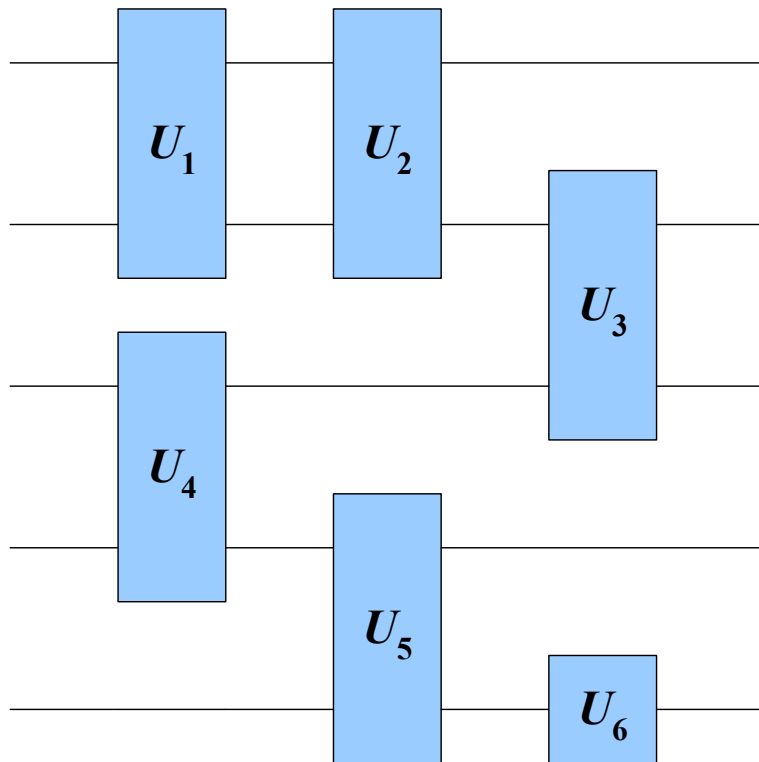
Key technique: hierarchical simulations using concatenated quantum error-correcting codes

# How to Interpret the Proof of Aharonov and Ben-Or

- **Local stochastic noise** in the computer  $C$  = **defects (disorder)** on a suitably constructed graph  $\Gamma_C$
- **Concatenation** = “**renormalization**” of  $\Gamma_C$ ; start with a subthreshold system, iterate the renormalization map to push the system away from the threshold and toward the trivial ( $\eta \rightarrow 0$ ) behavior
- **Threshold error rate**  $\eta_c$  = **nontrivial fixed point** of the renormalization map

# Quantum Circuits, Interaction Graphs, and Error Locations

Given a quantum circuit  $C$ , we construct the interaction graph  $\Gamma_C$



# Concatenated Codes and Hierarchical Simulation

- Concatenated code: encode each qubit in a block of  $m$  qubits, encode each of those in a block of  $m$  qubits, ...  
 $r$  levels of concatenation  $\rightarrow$  each qubit is encoded in  $m^r$  qubits
- Hierarchical simulation: compute directly on encoded states; start with circuit  $C_0$ , replace each gate with a fault-tolerant procedure (including error correction) to get  $C_1 = M(C_0)$ ; continue  $r$  times to get  $C_r = M^r(C_0)$

# Quantum Computation Codes

## Ingredients:

- quantum error-correcting code 1-to- $m$ , can correct up to  $d$  errors
- **spread**  $s$  of the code: one error anywhere during a procedure will result in at most  $s$  single-qubit errors at the end of the procedure; **demand**  $s \leq d$
- all operations (encoding, decoding, computation) are performed using gates from some universal set

# Local Stochastic Noise and Bernoulli Site Percolation on $\Gamma_C$

Local stochastic noise: each vertex of  $\Gamma_C$  is affected by an error with probability  $\eta$  and left intact with probability  $1-\eta$ , independently of all other vertices; thus **all vertices are statistically equivalent**

Key feature:

- **percolation threshold**  $\eta_*$ : no infinite connected cluster a.s. for  $\eta < \eta_*$ , infinite connected cluster a.s. for  $\eta > \eta_*$
- mean cluster size is finite for  $\eta < \eta_*$ ,



# Estimating the Threshold: Renormalization Argument

- One level of concatenation:  $C_0 \rightarrow C_1, \Gamma_0 \rightarrow \Gamma_1$
- *Renormalize*  $\Gamma_1$  by replacing all of the vertices corresponding to a procedure with a single vertex, draw edges appropriately - the resulting graph  $R(\Gamma_1)$  should be isomorphic to  $\Gamma_0$  (from local self-similarity)
- *New site percolation process* on  $R(\Gamma_1)$ : a vertex is occupied if  $k+1$  or more errors occurred in the corresponding procedure in  $\Gamma_1$ , where  $k = \lfloor d/s \rfloor$ .

# Estimating the Threshold: Renormalization Argument (cont'd)

- The renormalized site occupation probability:

$$R(\eta) \leq \sum_{l=k+1}^A \binom{A}{l} \eta^l (1-\eta)^{A-l} \leq 2^A \eta^{k+1}$$

$A$  = maximum number of locations in a procedure

- The **effective error rate** goes down, i.e.,  
 $R(\eta) < \eta$ , for  $\eta$  satisfying the **threshold condition**  
 $\eta < \eta_c = 2^{-A/k}$  (expect it to be much smaller than the percolation threshold  $\eta_*$ )
- Iterate  $r$  times to get  $R^r(\eta) \leq 2^{-A/k} (2^{A/k} \eta)^{(k+1)^r}$  ;  
for  $\eta < \eta_c$  we have  $R^r(\eta) < R^{r-1}(\eta) < \dots < R(\eta) < \eta$

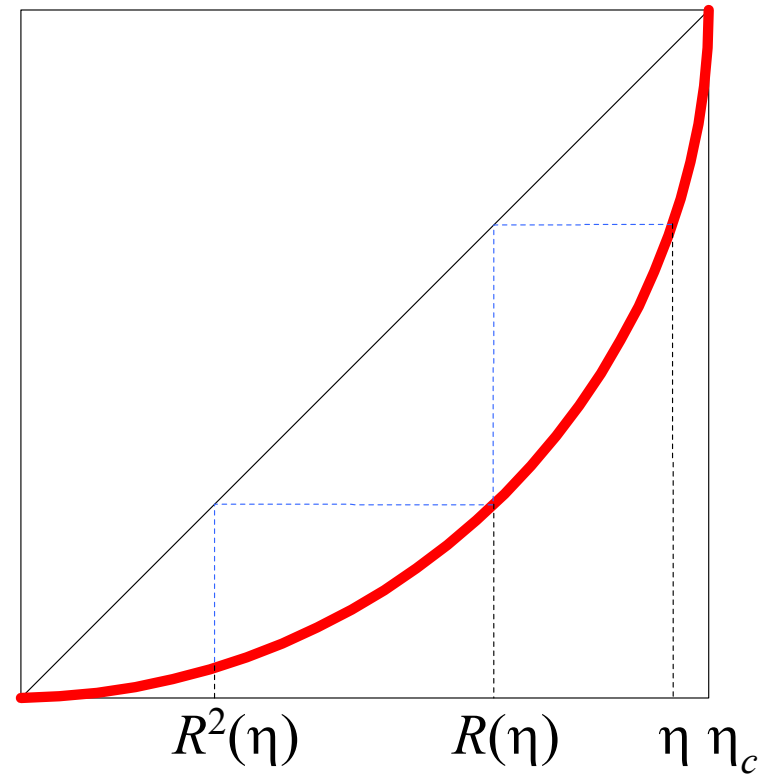
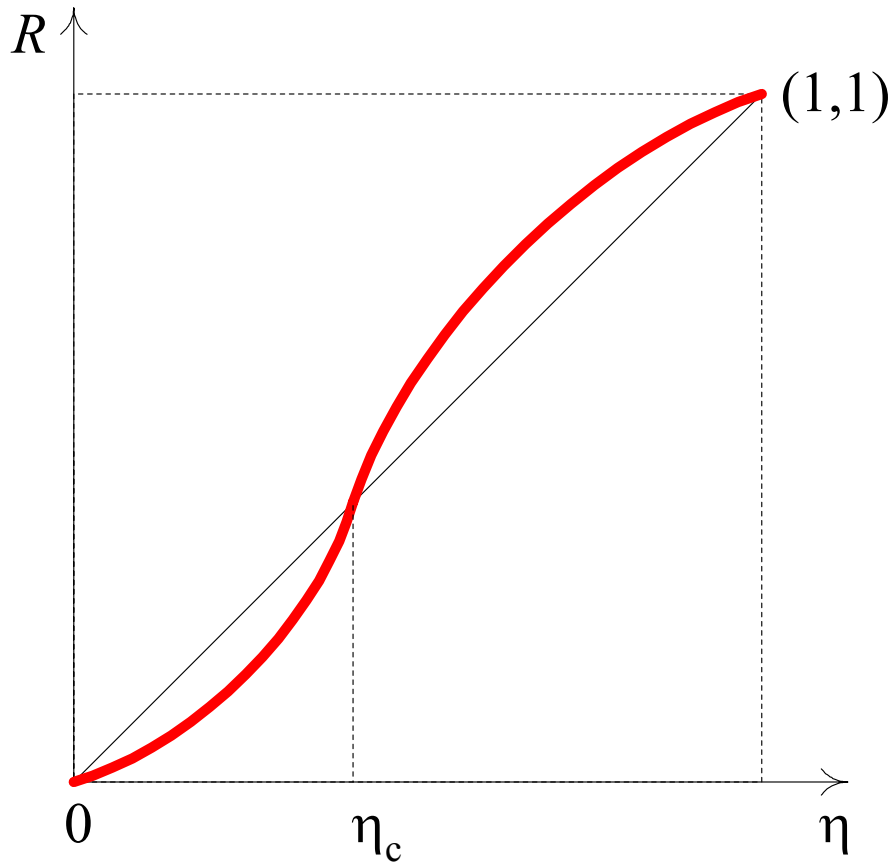
# Estimating the Threshold: Renormalization Argument (cont'd)

What is the required number of concatenation levels?

- $N$  = number of locations in the original circuit  $C_0$  (including identity gates)
- Given some  $\varepsilon > 0$ , we want the effective error rate per procedure in  $C_r$  to be smaller than  $\varepsilon/N$ , so that the total error is less than  $\varepsilon$

*The required number of concatenation levels is polylogarithmic in  $N$ :  $r = O(\text{polylog}(N/\varepsilon))$*

# Exact Value of the Threshold



# Trade-Off between Complexity Overhead and Threshold Error Rate

- From general arguments in percolation theory [Chayes, Chayes, Fisher, and Spencer (1986)]:

$$\frac{R(\eta)(1-R(\eta))}{\eta(1-\eta)} \leq R'(\eta) \leq \sqrt{\frac{A}{\eta(1-\eta)}}$$

- The rate at which the effective error rate goes down with concatenation in a subthreshold computer is controlled by  $\lambda = R'(\eta_c)$

*Key inequality:*  $1 < \lambda \leq \sqrt{\frac{A}{\eta_c(1-\eta_c)}}$

## Trade-Off ... (cont'd)

For  $r$  concatenation levels to suffice, we must have  $\lambda = 2^{K/r}$ , where  $K$  is some constant

Then

$$2^{K/r} \leq \sqrt{\frac{A}{\eta_c(1-\eta_c)}}$$

We get the *trade-off inequality*:

$$\eta_c(1-\eta_c) \leq A2^{-2K/r}$$

# Summary

- The idea behind the proof of the “threshold theorem” for fault-tolerant quantum computation can be seen as a renormalization argument for a suitably defined site percolation process
- In general, subthreshold quantum computers will be well into the subcritical phase of the percolation process
- There is a trade-off between the threshold error rate and the complexity overhead needed for the fault-tolerant circuit