

PHY682 Special Topics in Solid-State Physics: Quantum Information Science

Lecture time: 2:40-4:00PM Monday & Wednesday

Today 10/5:

1. Brief review error correction for Shor's code
2. More of Week 6's topic on quantum error correction

Review: Shor's 9-qubit Error Correction Code $[[n,k,d]]=[[9,1,3]]$

- To fight against flip error:

$$|''0''\rangle = |000\rangle \quad |''1''\rangle = |111\rangle$$

- To fight against phase error:

$$|''+\''\rangle = |+++ \rangle = \frac{1}{\sqrt{8}}(|0\rangle + |1\rangle)(|0\rangle + |1\rangle)(|0\rangle + |1\rangle)$$

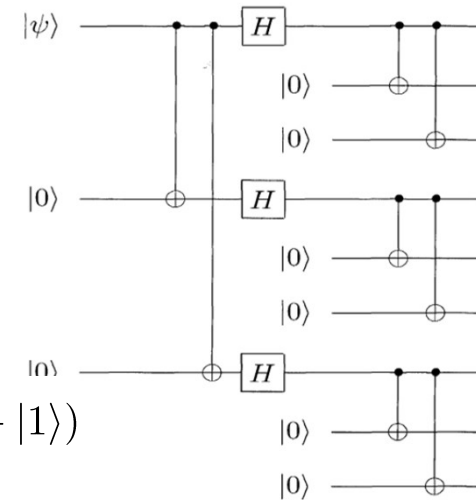
$$|''-\''\rangle = |--- \rangle = \frac{1}{\sqrt{8}}(|0\rangle - |1\rangle)(|0\rangle - |1\rangle)(|0\rangle - |1\rangle)$$

- Shor's suggestion to fight against both (and thus more):

$$|''0''\rangle = \frac{1}{\sqrt{8}}(|000\rangle + |111\rangle)(|000\rangle + |111\rangle)(|000\rangle + |111\rangle)$$

$$|''1''\rangle = \frac{1}{\sqrt{8}}(|000\rangle - |111\rangle)(|000\rangle - |111\rangle)(|000\rangle - |111\rangle)$$

→ Can verify the capability of correcting one-qubit errors



Review: Shor's code protects all 1-qubit errors!

Nielsen & Chuang: “the apparent continuum of errors that may occur on a single qubit can all be corrected by correcting only a discrete subset of those errors”

□ Consider E_k to be a general combination

$$E_k = e_{k0}I + e_{k1}X + e_{k2}XZ + e_{k3}Z$$

Its action on a qubit ψ gives rise to superposition (of no error and three types of errors):

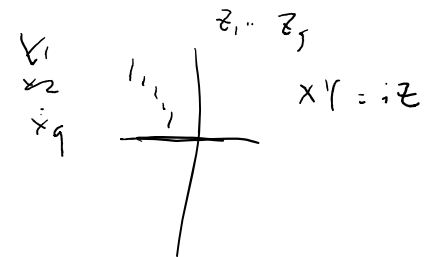
$$E_k|\psi\rangle = e_{k0}|\psi\rangle + e_{k1}X|\psi\rangle + e_{k2}XZ|\psi\rangle + e_{k3}Z|\psi\rangle$$

→ Measuring “syndromes” collapses to either of the four components and correction can be applied to recover ψ

Review: Correctable errors---Shor's code

□ **Correctable condition:** $PE_i^\dagger E_j P = \alpha_{ij} P$

Exercise 10.10 (N&C): Explicitly verify the quantum error-correction conditions for the Shor code, for the error set containing I and the error operators X_j, Y_j, Z_j for $j = 1$ through 9.



$$|''0''\rangle = \frac{1}{\sqrt{8}}(|000\rangle + |111\rangle)(|000\rangle + |111\rangle)(|000\rangle + |111\rangle)$$

$$|''1''\rangle = \frac{1}{\sqrt{8}}(|000\rangle - |111\rangle)(|000\rangle - |111\rangle)(|000\rangle - |111\rangle)$$

$$(\underline{|0\rangle|0\rangle|0\rangle} + \underline{|1\rangle|1\rangle|1\rangle}) X_1 X_2 (\underline{|0\rangle|0\rangle|0\rangle} + \underline{|1\rangle|1\rangle|1\rangle})$$

$$\begin{matrix} X_1 \\ X_2 \\ \vdots \\ X_9 \\ Y_1 \\ \vdots \\ Z_9 \end{matrix} \begin{pmatrix} X_1 X_2 \dots & Z_9 \\ 1 & 0 \\ \vdots & \vdots \\ 1 & 1 \end{pmatrix} \begin{matrix} z_1, z_2, z_3 \\ 1 \\ 1 \\ 1 \\ 1 \\ 1 \\ 1 \\ 1 \\ 1 \end{matrix}$$

Review: Error-correction conditions for S^*

- Theorem 10.8 (Nielsen & Chuang): (Error-correction conditions for stabilizer codes) Let S be the stabilizer for a stabilizer code $C(S)$. Suppose $\{E_j\}$ is a set of operators in G_n such that $E_j^\dagger E_k$ not in $N(S)-S$ for all j and k . Then $\{E_j\}$ is a correctable set of errors for the code $C(S)$.

Note: $N(S)$ is normalizer group of S : contains elements E of G_n that preserve S , i.e. $\forall g \in S \rightarrow E g E^\dagger \in S$ [In this case, $N(S)$ is equal to the centralizer $Z(S)$, the group that commutes with all elements in S]

- For Shor's code: $N(S)$ is generated by ① $X_1 X_2 X_3 X_4 X_5 X_6$, ② $X_4 X_5 X_6 X_7 X_8 X_9$ (from phase flip) ③ $Z_1 Z_2$, ④ $Z_2 Z_3$, ⑤ $Z_4 Z_5$, ⑥ $Z_5 Z_6$, ⑦ $Z_7 Z_8$, ⑧ $Z_8 Z_9$ and the two logical operators: $Z = X_1 X_2 X_3 X_4 X_5 X_6 X_7 X_8 X_9$ and logical $X = Z_1 Z_2 Z_3 Z_4 Z_5 Z_6 Z_7 Z_8 Z_9$

- ✓ $N(S)-S$ [set of elements in $N(S)$ but not in S] contains operators of weight at least three: $X_1 X_2 X_3$, $X_4 X_5 X_6$, $X_7 X_8 X_9$, $Z_1 Z_4 Z_7$, $Z_2 Z_5 Z_8$, etc. $E_j^\dagger E_k$ from single-qubit errors are not in this set!

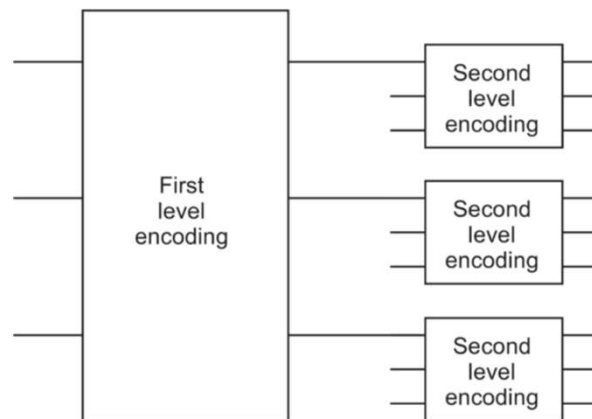
Summary: Quantum error correction

- In quantum computer: there are more errors than just bit flip and phase
 - ✓ Also due to quantum superposition, being able to correct flip and phase errors → correct all one-qubit errors
- Quantum error correction has been well developed, drawing **inspirations from classical coding theory**; now also used in many fields, e.g. condensed matter physics and AdS/CFT holographic entanglement
- Quantum computers spend more effort in preventing and actively correcting errors than classical ones
 - need measurement to find errors and apply correcting operations

Fault-tolerant and threshold

[Shor, Aharonov, Ben-Or, Kitaev,...]

If the error probability p of a gate is less than some threshold p_{th} , then arbitrarily long quantum computations are possible, using noisy gates, with a reasonable overhead cost



Concatenation

- Uses concatenation (many layer)
each layer error prob. $p \rightarrow cp^2$
- k layers: $p \rightarrow \frac{1}{c}(cp)^{2^k}$
- Want error probability \leq gate error rate/poly(number) of gates
➔ needs $p < p_{th} = 1/c$

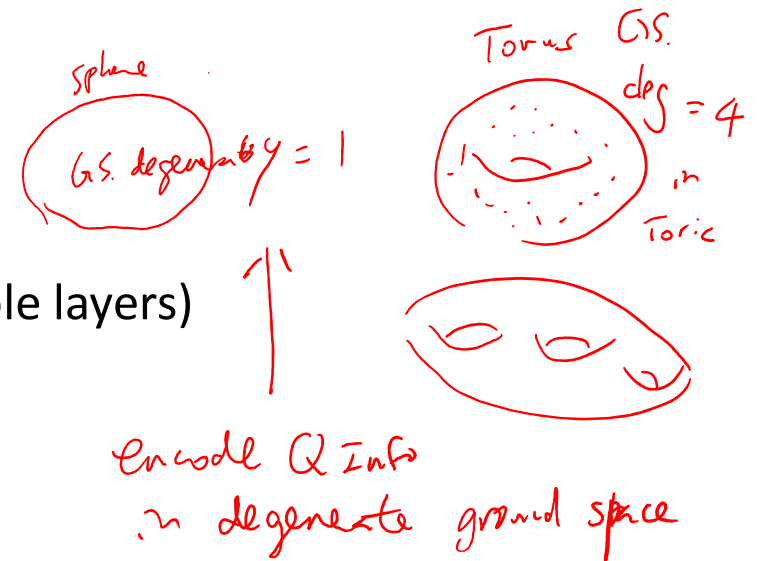
❖ p_{th} and overhead depend on error model and fault-tolerant scheme

Topological codes

Toric code, color codes, Bacon-Shor codes, subsystem codes, fracton codes, Levin-Wen string-net models, etc.

→ Closely related to topological phases

→ Do not need “concatenation” (i.e. multiple layers)



Kitaev's toric code

[Kitaev '03, Wen '03]

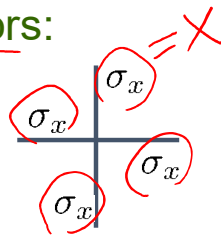
- Geometry: torus
- One qubit on each edge

$$n_{\text{qubit}} = 2N^2$$

□ Hamiltonian: $\hat{H} = -\sum_s A_s - \sum_p B_p$

➤ Star operators:

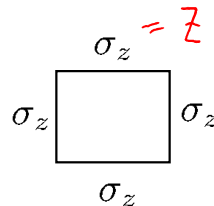
$$A_s = \prod_{j \in s} \sigma_x^{[j]}$$



$$n_s = N^2$$

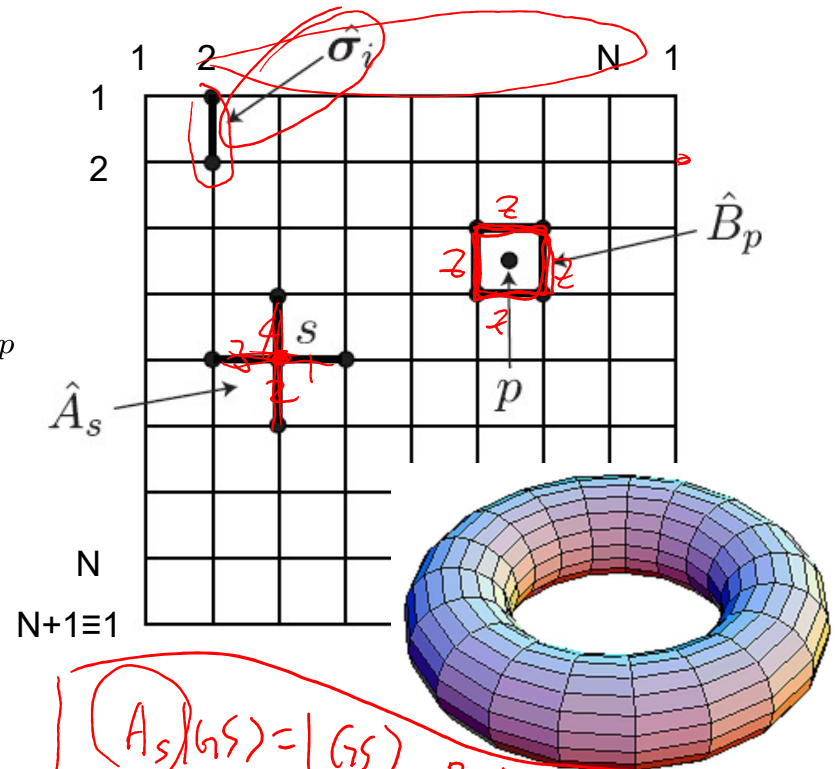
➤ Plaquette operators:

$$B_p = \prod_{j \in \partial(p)} \sigma_z^{[j]}$$



$$n_p = N^2$$

This defines the stabilizer group



$$A_s |GS\rangle = |GS\rangle, B_p |GS\rangle = |GS\rangle$$

→ $[A_s, B_p] = 0$

Ground-State degeneracy

Counting stabilizer operators

$$[A_s, B_p] = 0 \quad \rightarrow$$

$$A_s |\text{GS}\rangle = B_p |\text{GS}\rangle = |\text{GS}\rangle$$

How many independent equations (stabilizer generators)?

$$\prod_p B_p = \prod_s A_s = I \quad \rightarrow \quad 2N^2 - 2$$

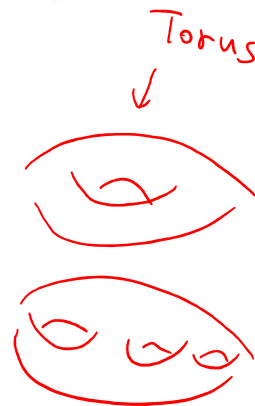
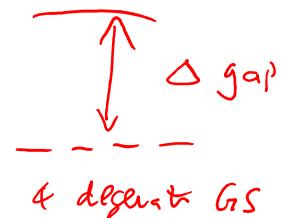
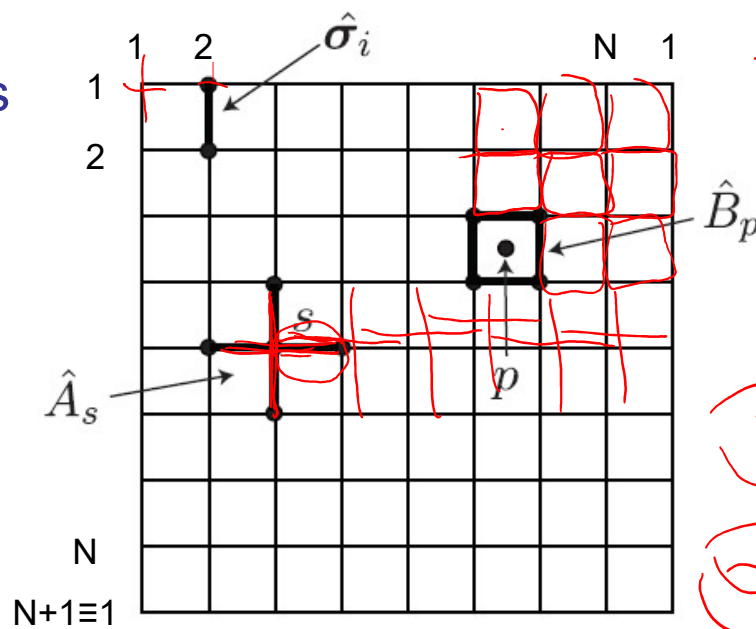
Effective two qubits, i.e. 4-fold degeneracy

logical
of qubits = $2N^2 - (2N^2 - 2) = 2$

For surface with genus g : 4^g -fold degeneracy

$$E - (V + F - 2) = 2 - (V - E + F) = 2g$$

$$2^{2g} = 4^g$$



Recall: Stabilizer group & logical code space

Proposition 10.5 (Nielsen & Chuang): Let $S = \langle g_1, \dots, g_{n-k} \rangle$ be generated by $n - k$ independent and commuting elements from G_n , and such that $-I$ not in S . Then V_S is a 2^k -dimensional vector space (effectively k qubits):

→ V_S is a k -qubit code space $C(S)$ defined by the stabilizer group S

→ Can choose two sets of k operators (logical Z 's and X 's)

$$\{\bar{Z}_1, \dots, \bar{Z}_k\}, \{\bar{X}_1, \dots, \bar{X}_k\} \rightarrow \text{commute w stabilizer } S$$

Such that

(i) $\{g_1, g_2, \dots, g_{n-k}, \bar{Z}_1, \dots, \bar{Z}_k\}$ Independent, commuting

(ii) $[g_j, \bar{X}_l] = 0, [\bar{Z}_i, \bar{X}_{j \neq i}] = 0, \bar{Z}_i \bar{X}_i = -\bar{X}_i \bar{Z}_i$

X 's are logical X operators

for same logical qubit

→ Can apply to Toric code: what are the logical operators?

Two qubits: effective Pauli's

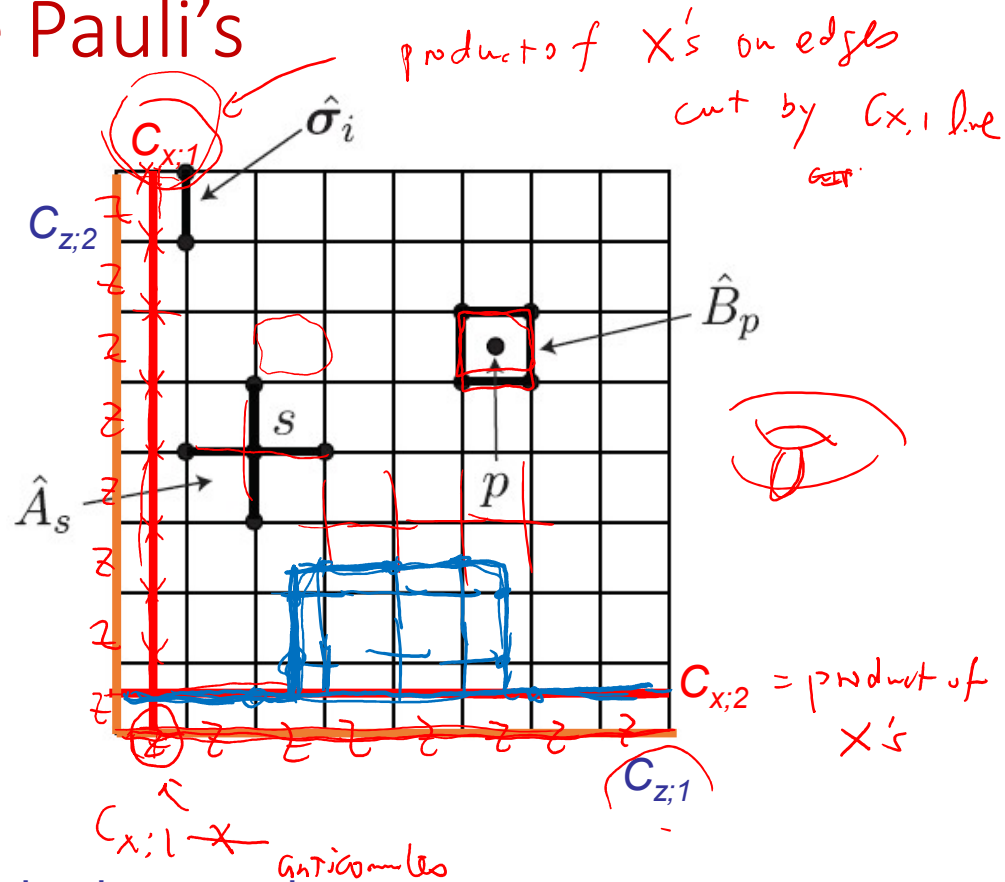
- Operators on non-contractible cycles form effective qubit operators

$$Z_{1/2} \equiv \prod_{j \in C_{z;1/2}} \sigma_z^{[j]}$$

$$X_{1/2} \equiv \prod_{j \in C_{x;1/2}} \sigma_x^{[j]}$$

→ Commute with star and plaquette operators

→ $\{X_1, Z_1\} = \{X_2, Z_2\} = 0$



- Action of X's and Z's on GS remains in ground space [C's can be deformed]

$$E_0(X_i|GS) = X_i \hat{H} |GS\rangle = \hat{H} (X_i |GS\rangle) = E_0(X_i |GS)$$

$X_i |GS\rangle$ is a GS.

logical $L \equiv L_s$ stabilizer
 $s |GS\rangle = |GS\rangle$

Excitations of toric code model

$$H = - \sum_s A_s - \sum_p B_p$$

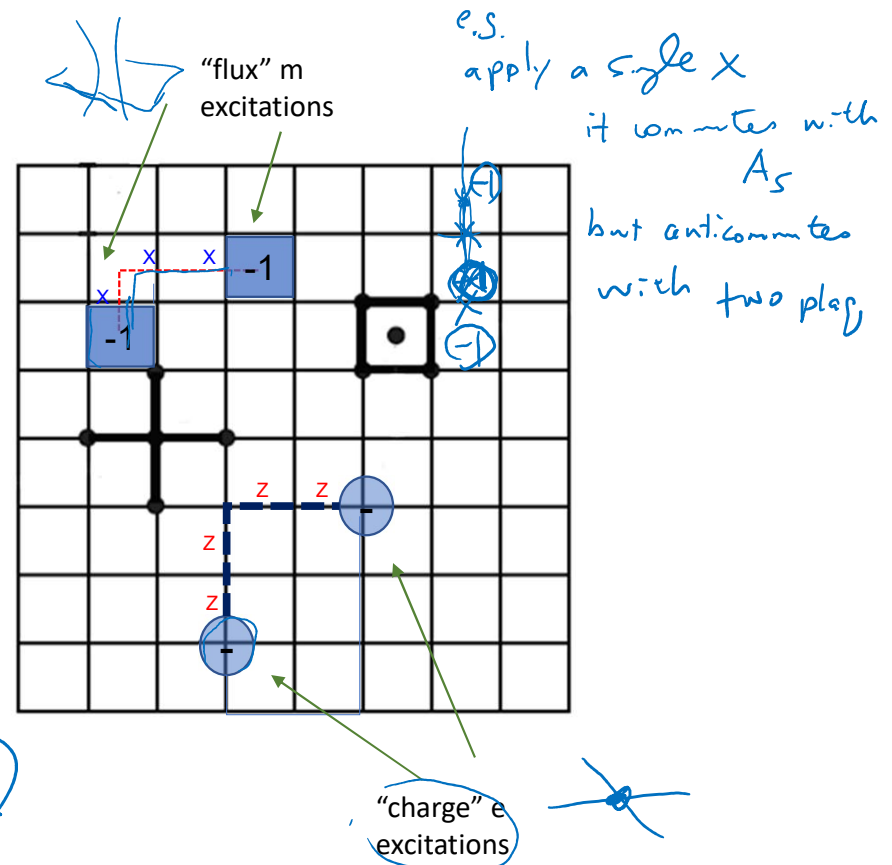
- Ground states "satisfy" all terms $A_s = B_p = 1$ in the Hamiltonian

$$\rightarrow E_0 = -2N^2$$

- Excitations break some of them (e.g. $B_{p1} = -1$), but come in pairs due to

$$\prod_p B_p = \prod_s A_s = I$$

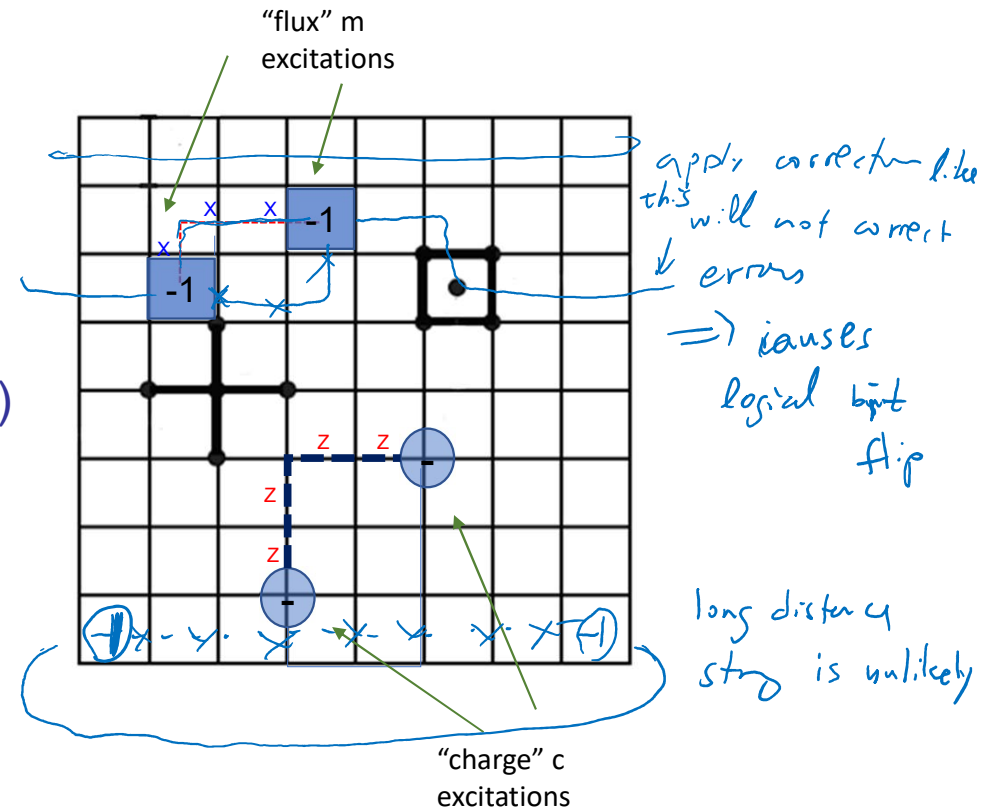
\rightarrow A pair of excitations has energy $E_0 + 4$



Correcting local errors

$$H = - \sum_s A_s - \sum_p B_p$$

- Error syndromes are from measuring $A_s=B_p$ (see if -1)
- Identify pairs of (e,e) and of (m,m)
- Then apply string of Z..Z or X..X to correct them

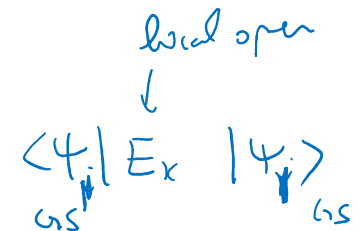


Check error correction condition

□ Correctable condition: $PE_i^\dagger E_j P = \alpha_{ij} P$

For toric code: P is projector to ground space.

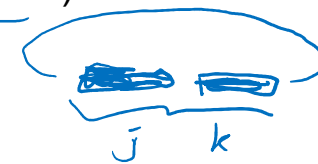
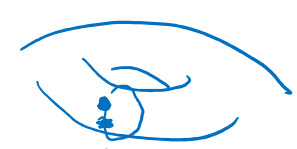
- ✓ Can be easily checked that local operators cannot distinguish different ground states (the combined $E_i^\dagger E_j$ needs to wrap around the torus, otherwise $\alpha_{i \neq j} = 0$)



□ Correctable condition from stabilizer formalism:

$E_j^\dagger E_k$ not in $N(S)-S$ for all j and k.

- ✓ $N(S)-S$ is generated by logical operators (product of Pauli wrapping around)
- ✓ Errors can be corrected as long as $E_j^\dagger E_k$ do not wrap around the torus)



Ground state: explicit construction

$$B_p |0\dots 0\rangle = |0\dots 0\rangle$$

□ Configuration 00...0 satisfies $B_p = \prod_{j \in \partial(p)} \sigma_z^{[j]}$ $\sigma_z |0/1\rangle = \pm |0/1\rangle$

□ Action of A_s : locally flipping 0000 to 1111 $A_s = \prod_{j \in s} \sigma_x^{[j]}$

→ apply all possible flipping

$$A_s |GS\rangle = |GS\rangle$$

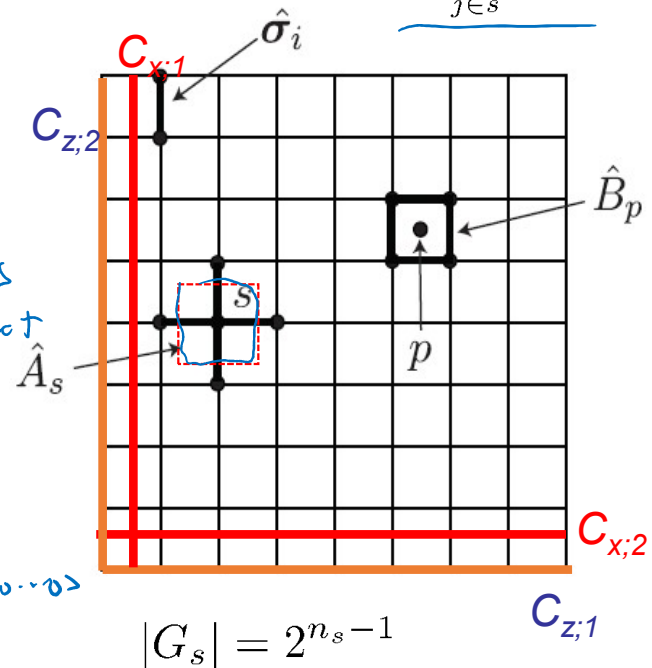
□ Consider group G_s generating by all A_s

$$|G_{0,0}\rangle \equiv \frac{1}{\sqrt{|G_s|}} \sum_{g \in G_s} g |00\dots 0\rangle$$

flipping by A_s or product of A_s

→ Satisfies all (A_s) and B_p

$$A_s \sum_g g |0\dots 0\rangle = \sum_g (A_s g) |0\dots 0\rangle$$



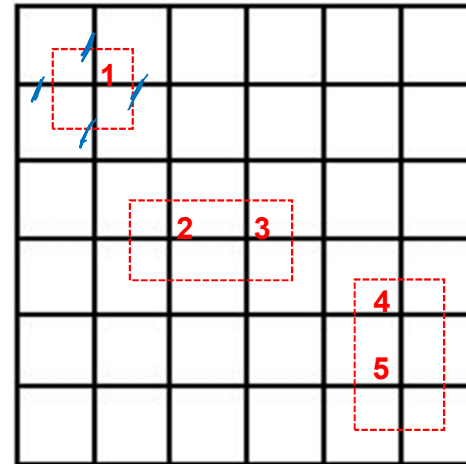
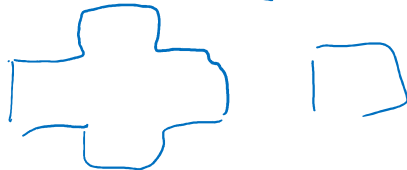
Pictorial understanding

$|a \dots 0\rangle$

$$|G_{0,0}\rangle \equiv \frac{1}{\sqrt{|G_s|}} \sum_{g \in G_s} g |00\dots 0\rangle$$

e.g.

$$g = \underline{A_1 A_2 A_3 A_4 A_5} I \dots I$$



→ Ground state G_{00} is a equal superposition of all possible (contractible) loop configurations [of 1...1]

Other ground states [4 degenerate states]

$$|G_{0,0}\rangle \equiv \frac{1}{\sqrt{|G_s|}} \sum_{g \in G_s} g |00\dots 0\rangle \quad |G_s| = 2^{n_s-1}$$

□ Ground space: effective two qubits

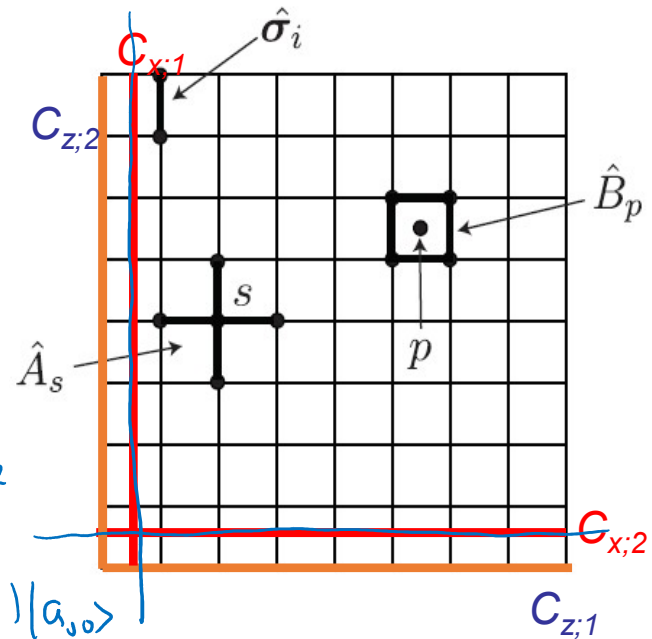
→ Use logical Pauli X operators to flip to get other ground states:

$$|G_{\alpha,\beta}\rangle \equiv (X_1)^\alpha (X_2)^\beta |G_{0,0}\rangle$$

$$X_{1/2} \equiv \prod_{j \in C_{x;1/2}} \sigma_x^{[j]}$$

Should check

□ Degenerate ground states cannot be distinguished locally (as X strings can be deformed)



Pictorial understanding

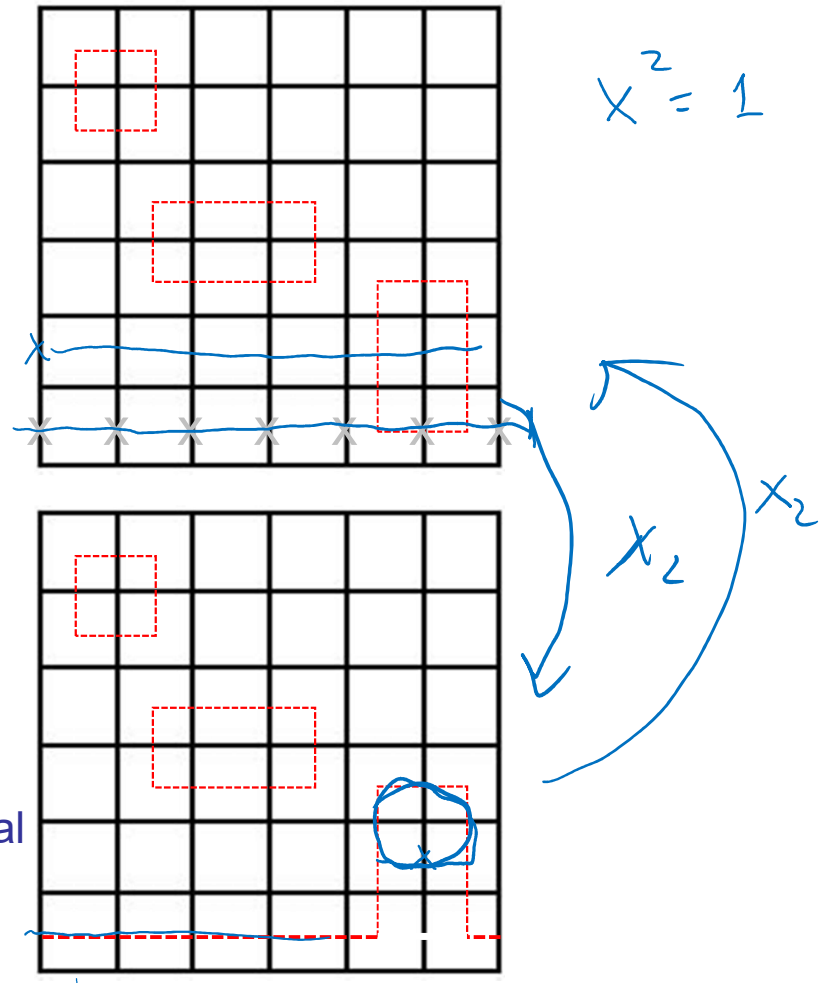
$$|G_{0,0}\rangle \equiv \frac{1}{\sqrt{|G_s|}} \sum_{g \in G_s} g|00\dots 0\rangle$$

- Equal superposition of all possible (contractible) loop configurations

Another ground state:

$$|G_{0,1}\rangle = (X_2 \equiv \prod_{j \in C_{x;2}} \sigma_x^{[j]}) |G_{0,0}\rangle$$

- Non-contractible loops with winding number=1 (odd) in horizontal direction

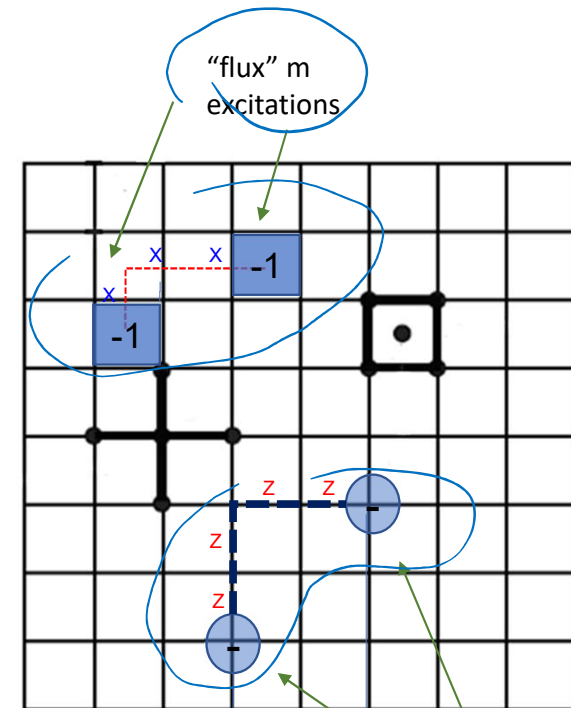
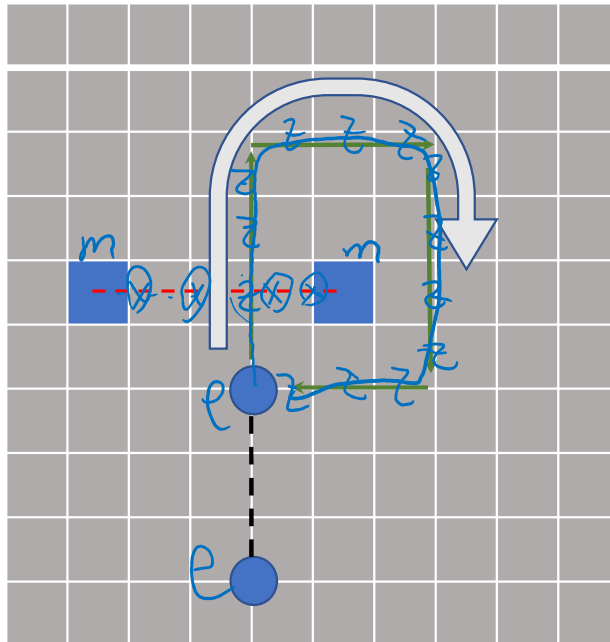


Anyons: excitations of toric code model

- We have seen ground-state vacuum ($|$) and charge (e): $A_s = -1$, flux (m) $B_p = -1$

→ Exchange between e & e (or m & m) gives $+1$

→ Braiding of e around m gives -1 sign



→ -1 sign due to anticommutation of X and Z operators (crossed by red and green lines)

"charge" e excitations

Anyons: l , e , m and f (fermions)

- We have now seen three anyons: vacuum (l) charge (e): $A_s = -1$, and flux (m) $B_p = -1$

➤ One more type of anyons is the usual f "fermion" which is a "bound state" of e and m

- Fusion: e and m fuse to f ($e \times m = f$); $f = (e \times m)$
 $e \times f = m$, $m \times f = e$

$e \times e = 1, m \times m = 1$

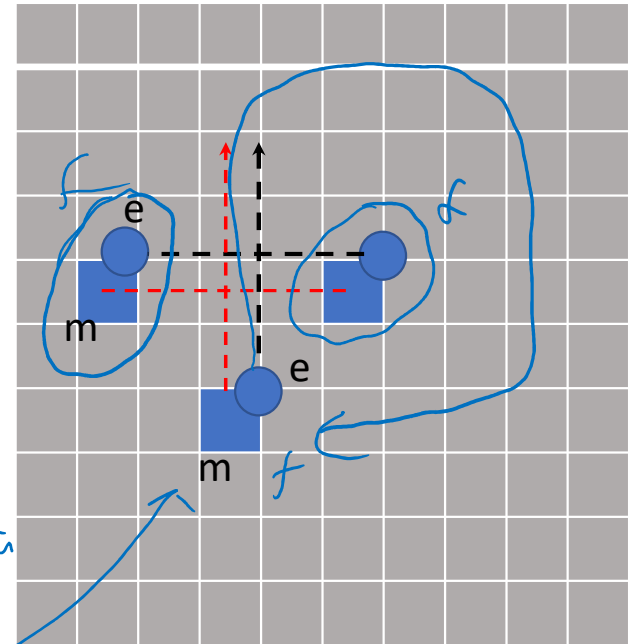
- Vacuum l is identity: $l \times e = e, l \times m = m,$
 $l \times f = f$

- Same anyons fuse to vacuum:
 $e \times e = l = m \times m = f \times f$

$e \rightarrow \leftarrow e$ annihilation

Note: Braiding of (e,m) around (e,m) gives $+1$

➔ Question: how do we reveal -1 of its fermion exchange?



$f \leftarrow f$ exchange twice $\oplus 1$



“Topological charge” basis (*)

Old logical: $|G_{0,0}\rangle \equiv \frac{1}{\sqrt{|G_s|}} \sum_{g \in G_s} g|00\dots 0\rangle$

- Four basis states in ground space (convenient basis but there is a better one)

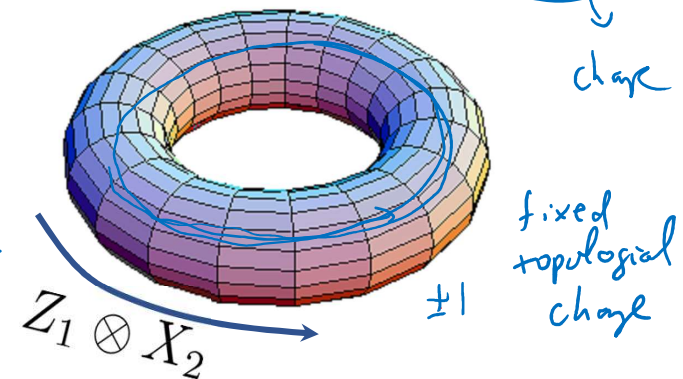
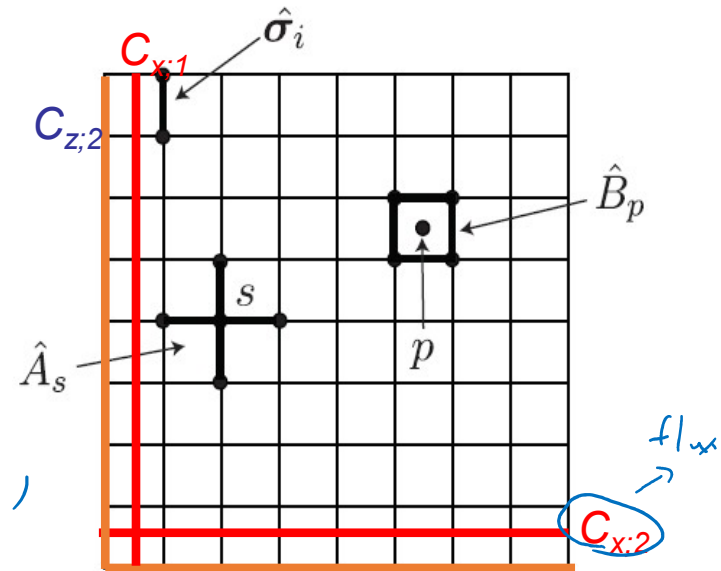
logical: $|G_{\alpha,\beta}\rangle \equiv (X_1)^\alpha (X_2)^\beta |G_{0,0}\rangle$

- Topological charge basis w.r.t. (e.g.) horizontal loops: (mixed basis)

$$Z_1 \otimes X_2 |0/1, \pm\rangle = (-1)^{0/1} \cdot (\pm 1) |0/1, \pm\rangle$$

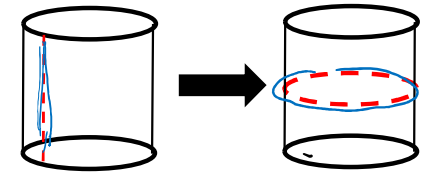
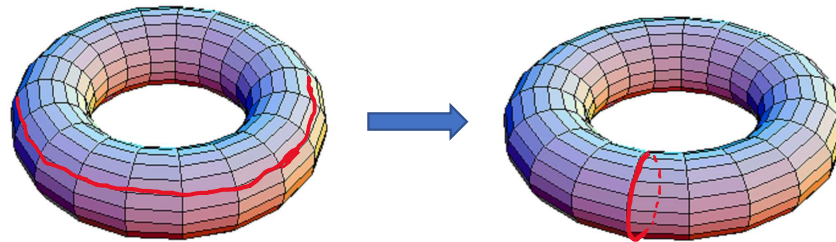
$$\begin{cases} |0, \pm\rangle \equiv \frac{1}{\sqrt{2}} (|G_{0,0}\rangle \pm |G_{0,1}\rangle) \\ |1, \pm\rangle \equiv \frac{1}{\sqrt{2}} (|G_{1,0}\rangle \pm |G_{1,1}\rangle) \end{cases}$$

usually $|0\rangle|+\rangle = \frac{1}{\sqrt{2}} (|0\rangle|0\rangle + |0\rangle|1\rangle)$



Rotate by 90° and modular S matrix

□ Rotation by 90° of (e.g.) horizontal loops:



$$|G_{\alpha, \beta}\rangle \longrightarrow |G_{\beta, \alpha}\rangle$$

swap $(G_{\alpha, \beta} | \hat{S} | G_{\beta, \alpha})$

$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

→ Using topological charge basis:

$$\begin{pmatrix} |0, +\rangle \\ |0, -\rangle \\ |1, +\rangle \\ |1, -\rangle \end{pmatrix} \xrightarrow{\hat{S}} \frac{1}{2} \begin{pmatrix} 1 & 1 & 1 & 1 \\ 1 & 1 & -1 & -1 \\ 1 & -1 & 1 & -1 \\ 1 & -1 & -1 & 1 \end{pmatrix} \begin{pmatrix} |0, +\rangle \\ |0, -\rangle \\ |1, +\rangle \\ |1, -\rangle \end{pmatrix}$$

transform to q_1, \pm basis



is a braiding statistics

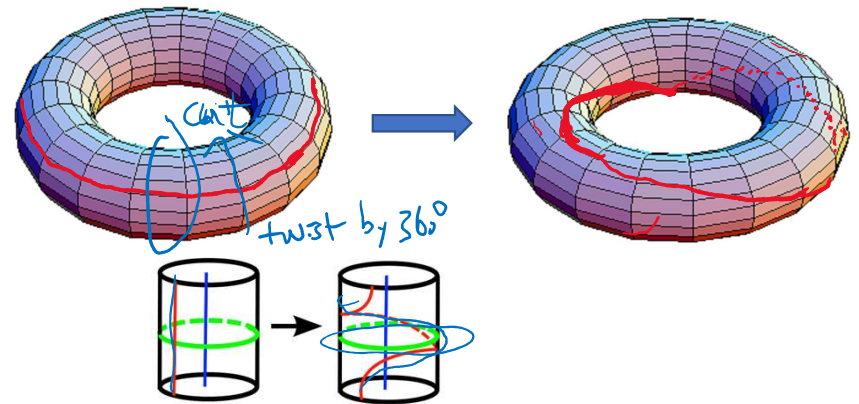
Modular S matrix → mutual statistics



Dehn twist and modular T matrix

□ Dehn twist: $|G_{\alpha,\beta}\rangle \rightarrow |G_{\alpha,\beta+\alpha}\rangle$

$$\langle G' | \hat{T} | G \rangle = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix} \text{ (NOT)}$$



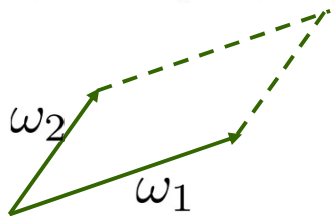
→ Using topological charge basis:

$$\begin{pmatrix} |0, +\rangle \\ |0, -\rangle \\ |1, +\rangle \\ |1, -\rangle \end{pmatrix} \xrightarrow{\hat{T}} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix} \begin{pmatrix} |0, +\rangle \\ |0, -\rangle \\ |1, +\rangle \\ |1, -\rangle \end{pmatrix}$$

self boson (circled around the top-left 2x2 block)
 self fermion (circled around the bottom-right -1)
 Fermion exchange sign -1 (arrow pointing to the -1)
 Modular T matrix → self exchange statistics

Modular transformation and statistics

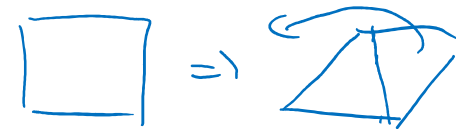
$$z \equiv z + \omega_1 \equiv z + \omega_2$$



$$\begin{pmatrix} \omega_1 \\ \omega_2 \end{pmatrix} \rightarrow \begin{pmatrix} \omega'_1 \\ \omega'_2 \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} \omega_1 \\ \omega_2 \end{pmatrix}$$

\downarrow
 $SL(2, \mathbb{Z})$

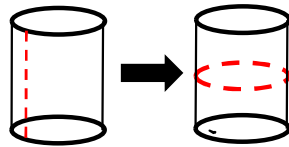
Dehn twist
= shear



□ $SL(2, \mathbb{Z})$ generated by s & t

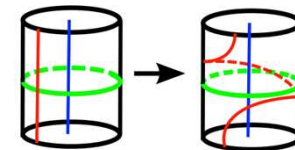
$$\hat{s} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

[90° rotation on square]



$$\hat{t} = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$$

[Dehn twist]



- Modular transformation on degenerate ground states in topological basis $\{|\Xi_{\alpha}^{\prime\prime}\rangle\}$
 → modular matrices S (mutual statistics) & T (self-statistics)

$$S_{\beta\alpha} = \langle \Xi_{\beta}^{\prime\prime} | \hat{R}(90^{\circ}) | \Xi_{\alpha}^{\prime\prime} \rangle \quad \langle \Phi | \text{square} | \Phi \rangle$$

$$T_{\beta\alpha} = \langle \Xi_{\beta}^{\prime\prime} | \text{Dehn Twist} | \Xi_{\alpha}^{\prime\prime} \rangle \quad \langle \Phi | \text{twist} | \Phi \rangle$$

Check error correction condition

□ Correctable condition: $PE_i^\dagger E_j P = \alpha_{ij} P$

For toric code: P is projector to ground space.

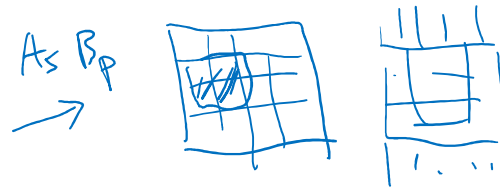
- ✓ From the property of topological phase that local operators cannot distinguish different ground states (the combined $E_i^\dagger E_j$ needs to wrap around the torus)

□ Correctable condition from stabilizer formalism:

$E_j^\dagger E_k$ not in $N(S)$ -S for all j and k.

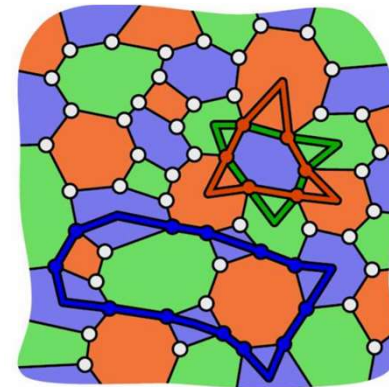
- ✓ Errors can be corrected as long as $E_j^\dagger E_k$ do not wrap around the torus)

Other topological error codes

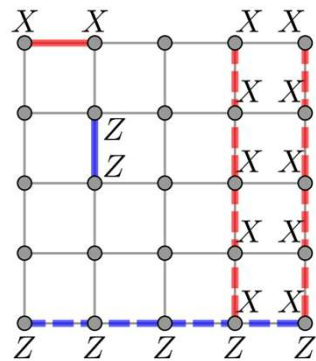


logical qubits \Rightarrow Surface code
 google is aiming to implement surface code for Q.C.

Surface code: "Toric code" on a plane with boundary
 punch holes \Rightarrow a pair of holes
 Color code: can be regarded as multiple copies of toric codes [qubits are on vertices]

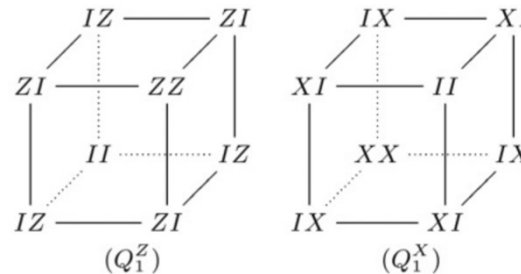


Bacon-Shor code:



\Rightarrow recent 9-qubit implementation of Bacon-Shor

trapped ions C. Monroe



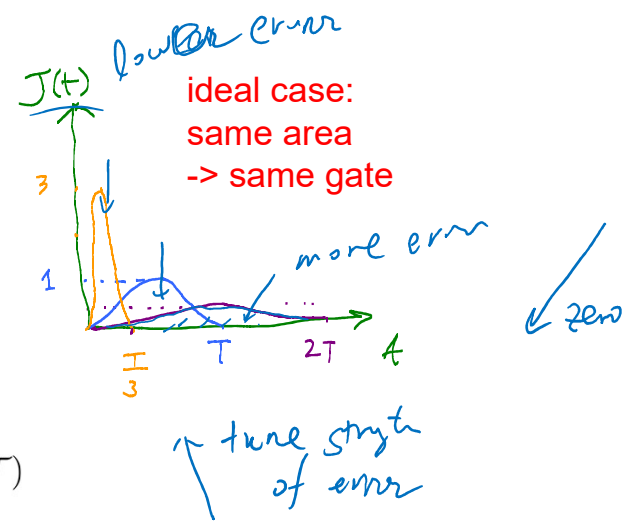
\rightarrow Fracton code: logical operators have fractal structure

Error mitigation (not active correction)

[Temme, Bravyi & Gambetta, PRL 119, 180509 (2017); Li & Benjamin, PRX7, 021050(2017)]

Basic idea:

- A gate is achieved by some evolution operator via external field or coupling strength $J(t)$
- Same area \rightarrow same ideal gate
- Reality: longer pulse experience "larger" noise (effective larger λ)



$$\rightarrow \text{Tr}(A\rho(t=T)) = E_K(\lambda) = E^* + \sum_{k=1}^n a_k \lambda^k + R_{n+1}(\lambda, \mathcal{L}, \mathcal{T})$$

- If we use different pulses to mimic different noise strengths $c_j \lambda$ can extract ideal E^* up to small correction

$$E^* \approx \sum_{j=0}^n \gamma_j E_K(c_j \lambda) + \mathcal{O}(\lambda^{n+1})$$

$$\sum_j \gamma_j = 1, \sum_{j=0}^n \gamma_j c_j^k = 0$$

\Rightarrow extrapolate to zero error

Measurement Error mitigation

[e.g Chen, Farahzad, Yoo & Wei, PRA 100, 052135 (2019); also standard in Qiskit]

- Basic idea: prepare computational states n and measure in computational basis. Gather enough statistics \rightarrow matrix M

$D \sim \frac{1}{2}$
 $D \sim \frac{1}{2}$
 $D \sim \frac{1}{2}$

- Mitigate readout errors [M from detector tomography or above procedure]

$$\underbrace{\tilde{P}_{(n_0, n_1, \dots, n_{N-1})}[\text{measured}]}_{\text{distr. b. t. m}} = \sum_{\vec{m}} \underbrace{M_{\vec{n}; \vec{m}}}_{\text{ideal distr.}} \underbrace{P_{\vec{m}}[\text{ideal}]}_{\text{by experiment}}$$

- Invert to find P with constraint $P \geq 0$:

$$P_{\text{ideal}} = M^{-1} \tilde{P}_{\text{meas}}$$