

## Matter waves

We mentioned de Broglie's hypothesis last time  
(1923)

particles also exhibit wave properties

$$f = \frac{E}{\hbar}$$

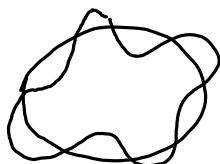
$$\left\{ \lambda = \frac{\hbar}{p} \right.$$

(as we saw light waves exhibit particle-like properties)

$$E = hf \quad \& \quad p = \frac{\hbar}{\lambda}$$

This was used to explain the Bohr quantization condition

angular momentum  $L = \frac{n\hbar}{2\pi} = nh \quad (h = \frac{\hbar}{2\pi}) \quad n=1, 2, 3, \dots$



$$2\pi r = n\lambda \Rightarrow \lambda = \frac{2\pi r}{n}$$

$$L = rp = r \cdot \frac{\hbar}{\lambda} = r \cdot \frac{\hbar}{\frac{2\pi r}{n}} = n \frac{\hbar}{2\pi}$$

which was used by Niels Bohr to derive energy levels for hydrogen atoms.

We will come back to Chapter 5 after we study quantum mechanics for 1d, 2d & 3d.

At that time even de Broglie did not know what is the nature of "the wave", it was referred to as the "matter waves".

But why wasn't such a wave observed before?

Ans. wavelength too small.

Example 6.1 e<sup>-</sup> with kinetic energy  $K = 10, 100, 10^3, 10^4 \text{ eV} \Rightarrow \lambda = ?$

Use non-relativity as  $K \ll \text{MeV.}$   $K = \frac{p^2}{2m}$   $p = \sqrt{2mK}$ .

$$\lambda = \frac{\hbar}{\sqrt{2mK}} = \frac{\hbar c}{\sqrt{2mc^2 K}} = \frac{1240 \text{ eV} \cdot \text{nm}}{\sqrt{2 \times 0.511 \times 10^6 \text{ eV} \cdot 10^4 \text{ eV}}} = \frac{1240 \text{ nm}}{\sqrt{1.022 \times 10^4}} \approx 0.12 \text{ nm}$$

$K$ (eV)	10	100	1000	$10^4$
$\lambda$ (nm)	0.39	0.12	0.039	0.012

$$\lambda \propto \frac{1}{\sqrt{m}}$$

↳ so neutron has even smaller wavelength

## 4. X-rays

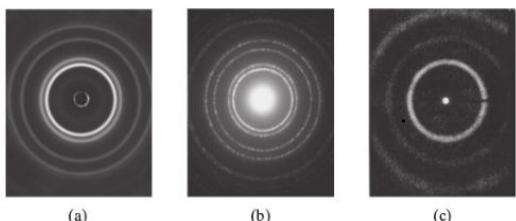
↳ comparable to wavelength of X-rays  $\Rightarrow$  could be used for crystals too

\* But requires very good vacuum to avoid scattering by gas  
 I ( $k\text{-neutrons}$ )

1927 Clinton Davisson & Lester Germer : diffraction of electron waves

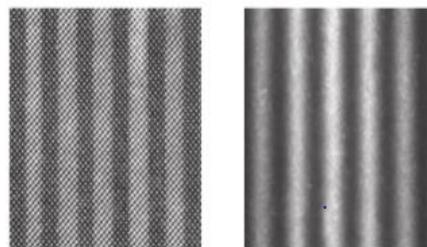
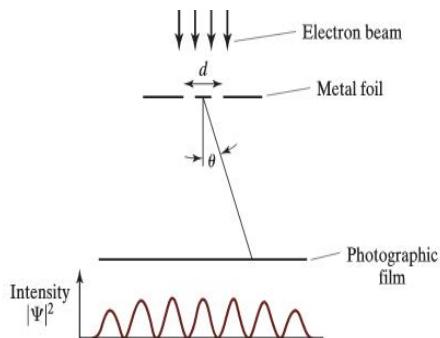
e.g.  $K = 54\text{ eV}$  at nickel crystal.  $\Rightarrow$  agrees

1927 G. P. Thomson : diffraction of  $e^-$  transmitted thru thin metal foils



**FIGURE 6.3**  
Diffraction rings produced by diffraction of waves in polycrystalline metal samples with (a) X-rays, (b) electrons, (c) neutrons.

## II. double-slit experiment for $e^-$

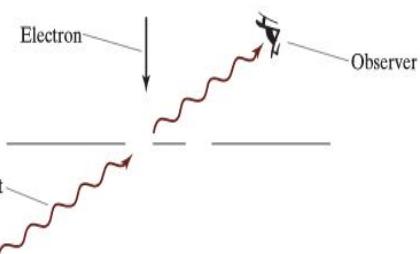


**FIGURE 6.4**

Two-slit interference patterns produced by light and electrons.

Q: which way did the  $e^-$ 's go thru?

Ans: if you try to use light to see which slit  $e^-$ 's go thru, interference pattern disappears!



**FIGURE 6.7**

To find out which slit the electron passes through, we shine a narrow beam of light through one of the slits.

Using  $e^-$  for interference,  $\lambda_{el} \approx d$ .  $p_{el} = \frac{h}{\lambda_{el}} \approx \frac{h}{d}$

As you need to use light w. wave length  $\lambda_r \leq d$

$\Rightarrow p_r = \frac{h}{\lambda_r} \gtrsim \frac{h}{d} \Rightarrow$  detection of  $e^-$  will change  $e^-$ 's momentum,  
smearing interference pattern.

$\Rightarrow$  It is not possible to measure position  $x$  and momentum  $p$   
precisely w/o change one or the other. Heisenberg's uncertainty principle  
 $\Delta x \Delta p \geq \frac{\hbar}{2}$ . [later]

$\Rightarrow$  what was detected is the intensity (of photons or  $e^-$ 's)

Q1: what is the nature of the matter wave? Probability amplitude

Q2: what is the equation that governs the wave? Schrödinger's wave equation

### Quantum wave function.

Consider electric field  $E(r,t)$

$\Rightarrow$  energy in volume  $dV$  at  $\vec{r}$ :  $E$  (in  $dV$  at  $r$ ) =  $c_0 |E(r,t)|^2 dV$

(# of photons in  $dV$  at  $r$ )  $\sim \frac{E}{hf} \propto |E(r,t)|^2 dV$   
L  $P(r)$   
probable # of photons

Max Born: matter wave  $\Psi(\vec{r},t)$

$$|\Psi(\vec{r},t)|^2 dV = (\text{probable # of } e^- \text{ in } dV \text{ at } \vec{r})$$

this quantum wave func  $\Psi(\vec{r},t)$  is in general complex:  $\Psi = \Psi_{\text{real}} + i\Psi_{\text{image}}$   
 $i = \sqrt{-1}$ ,  $|\Psi|^2 = \Psi_{\text{real}}^2 + \Psi_{\text{image}}^2$   
 $\rightarrow$  answers Q1.

Ans to Q2: Schrödinger's equation e.g.  $i\hbar \frac{\partial \Psi(\vec{r},t)}{\partial t} = -\frac{\hbar^2}{2m} \nabla^2 \Psi(\vec{r},t) + V(\vec{r}) \Psi(\vec{r},t)$

## Sinusoidal Waves

$\Rightarrow$

$$y(x,t) = A \sin 2\pi \left( \frac{x}{\lambda} - \frac{t}{T} \right)$$

$$= A \sin(kx - \omega t) \quad \omega = 2\pi f \text{ angular freq}$$



$$(\text{phase}) \text{ velocity } v = \frac{\lambda}{T} = \lambda f = \frac{\omega}{k}$$

de Broglie relation.

$$\begin{cases} E = hf \\ p = \frac{h}{\lambda} \end{cases}$$

Recall superposition principle : e.g. create a standing wave out of two counter-propagating traveling waves.

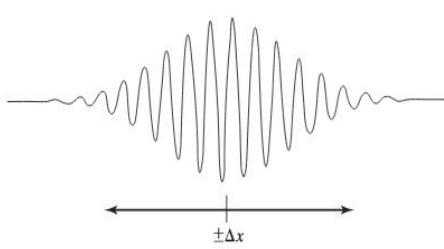
$$y_R(x,t) = A \sin(kx - \omega t) \quad \rightarrow + \quad \leftarrow y_L(x,t) = A \sin(kx + \omega t)$$

$$y(x,t) = y_R(x,t) + y_L(x,t) = 2A \sin kx \cos \omega t$$

## Wave packets & Fourier analysis

In the above examples, the waves are infinite in extent. Typical waves e.g. sound wave, seismic waves, ... are finite in extent.

These come in the form of a wave packet.



Consider such a wave packet on the left.

In order to make the wave confine mostly in a region  $[-\alpha x, \alpha x]$ , we shall see that

the spread in  $k$  i.e.  $\Delta k$  satisfy

$$\Delta k \approx \frac{1}{\Delta x} \quad [\text{so if } \Delta k = 0 \Rightarrow \Delta x = \infty \text{ or } \Delta x = 0 \Rightarrow \Delta k = \infty]$$

Using momentum spread  $\Delta p = \hbar \Delta k$ , we have

$$\Delta p \approx \frac{\hbar}{\Delta x}, \text{ which is the uncertainty principle } \boxed{\Delta x \Delta p \geq \frac{\hbar}{2}}$$

The mathematics that we need is the Fourier analysis.

### Fourier series

Consider only even function for simplicity,  $f(x) = f(-x)$

For a even periodic function  $f(x)$  it can be expressed as a sum of a series

$$f(x) = \sum_{n=0}^{\infty} A_n \cos\left(\frac{2\pi n}{\lambda} x\right) = \sum_{n=0}^{\infty} A_n \cos k_n x, \quad k_n = \frac{2\pi}{\lambda} n = \frac{1}{\lambda} n \quad (f(x)=f(x+\lambda))$$

Fourier  
sum/series

Fourier  
coeff.

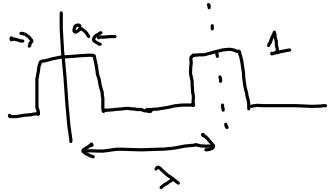
$$\lambda_n = \frac{\lambda}{n} \quad (n \neq 0)$$

$n=1$ :  $\lambda_1 = \lambda$  fundamental

$n > 1$ :  $\lambda_n$  higher harmonics

$$A_n = \frac{2}{\lambda} \int_0^\lambda dx \cos \frac{2\pi n}{\lambda} x \quad f(x) \quad A_0 = \frac{1}{\lambda} \int_0^\lambda dx \quad f(x)$$

e.g.



$$\begin{aligned} A_n &= \frac{2}{\lambda} \int_0^{\frac{\lambda}{2}} + \int_{\frac{\lambda}{2}}^{\lambda} \cos \frac{2\pi n}{\lambda} x \\ &= \frac{2}{\lambda} \left( \frac{\lambda}{2\pi n} \sin \frac{2\pi n}{\lambda} x \Big|_0^{\frac{\lambda}{2}} + \Big|_{\frac{\lambda}{2}}^{\lambda} \right) \\ &= \frac{2}{\lambda} \frac{\lambda}{2\pi n} \left( \sin \frac{\pi n a}{\lambda} - \sin \frac{2\pi n}{\lambda} (\lambda - \frac{a}{2}) \right) \\ &= \frac{2}{\pi n} \sin \frac{\pi n a}{\lambda} \quad n = 1, 2, \dots \\ &\quad (n \Rightarrow A_0 = \frac{a}{\lambda}) \end{aligned}$$

In general if we do not restrict to even functions, then we have  
in general .

$$f(x) = \sum_n \left( A_n \cos \frac{n\pi x}{\lambda} + B_n \sin \frac{n\pi x}{\lambda} \right)$$

## Fourier integral

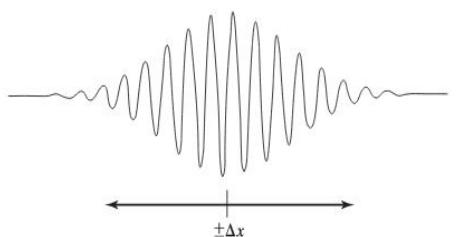
For functions that are not periodic, we can think of their period (in space)  $\lambda \rightarrow \infty$

Then for even function

$$f(x) = \int_0^\infty A(k) \cos kx dk \quad A(k) = \frac{1}{\pi} \int_{-\infty}^\infty f(x) \cos kx dx$$

Fourier transform

Look at a wave packet centered on the origin:  $\lambda \pm \sigma_\lambda$



Focus on two extremes  $\lambda - \sigma_\lambda$  &  $\lambda + \sigma_\lambda$

$$\text{Spread of } k = ? \quad k = \frac{2\pi}{\lambda} \quad \Delta k = \left| \frac{dk}{d\lambda} \right| \sigma_\lambda$$

$$\Delta k = \frac{2\pi}{\lambda^2} \sigma_\lambda$$

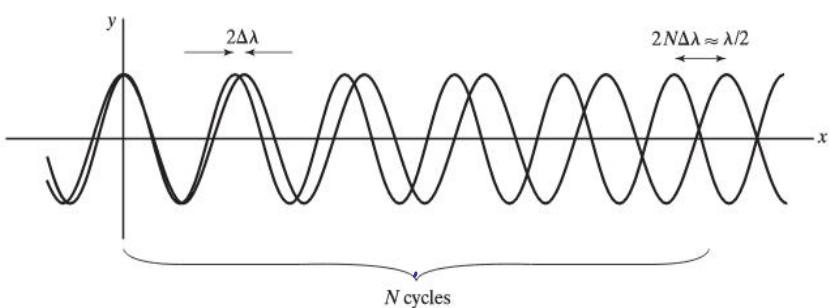
At about  $N\lambda$  from origin - i.e.  $x = N\lambda$

the two waves differ in positions of peaks  $2N\sigma_\lambda$  and destructively interfere

$$\text{if } 2N\sigma_\lambda \approx \frac{\lambda}{2} \text{ (out of phase)} \quad [N \approx \frac{\lambda}{4\sigma_\lambda}]$$

$$\Rightarrow \text{Half-width of packet } \Delta x \approx N\lambda = \frac{\lambda^2}{4\sigma_\lambda} = \frac{1}{4} \frac{2\pi}{\Delta k}$$

$$\Rightarrow \Delta x \Delta k \approx \frac{\pi}{2} \text{ of order 1.}$$



$\Delta x$  is usually defined as

$$\Delta x = \sqrt{\langle (x - x_0)^2 \rangle}$$

$$x_0 = \langle x \rangle.$$

$\langle \dots \rangle \Rightarrow \text{average}$

$$\Rightarrow \Delta x \Delta k \geq \frac{1}{2}$$

Similar analysis leads to  $\Delta\omega \Delta t \geq \frac{\hbar}{2}$

### Example 6.2

A TV picture, composed of 525 horizontal lines, refreshes 30 times a second. Hence, each line is drawn across the screen in  $1/(30 \times 525) \text{ s} = 6.3 \times 10^{-5} \text{ s}$ . What is the approximate range of frequencies  $\Delta f$  at which a TV transmitter must be able to broadcast, if the horizontal and vertical resolutions in the TV picture are to be about the same?

Vertical resolution       $\left\{ \begin{array}{c} \text{--- bright} \\ \text{--- bright} \\ \vdots \\ \text{--- bright} \end{array} \right\} 525 \text{ lines}$

$30 \text{ lines a second}$   
 $\Rightarrow \text{each line drawn } 6.3 \times 10^{-5} \text{ sec}$

Want horizontal resolution = vertical

 $\Rightarrow \Delta t \approx \frac{6.3 \times 10^{-5} \text{ sec}}{525} = 1.2 \times 10^{-7} \text{ s}$ 
 $\Delta\omega \geq \frac{1}{2\Delta t} \approx 8.3 \times 10^6 \text{ s}^{-1}, \Delta f = \frac{\Delta\omega}{2\pi} \approx 1.3 \times 10^6 \text{ Hz}$ 
 $\Rightarrow \text{band width } 2\Delta f = 2.6 \times 10^6 \text{ Hz}$

### Uncertainty principles / relations

$\Delta x \Delta p \geq \frac{\hbar}{2} \rightarrow$  If a particle's position is uncertain to within  $\pm \Delta x$  and its momentum is  $\pm \Delta p$

Then uncertainty principle says  $\Delta x \Delta p \geq \frac{\hbar}{2}$

Since  $p = \frac{h}{\lambda} = \hbar k, \Delta p = \hbar \Delta k$

From Fourier analysis we have  $\Delta x \Delta k \geq \frac{1}{2}$

Thus  $\Delta x \Delta p = \Delta x \Delta k \geq \frac{\hbar}{2}$ .

[classical mechanics assume that  $x$  &  $p$  can be measured ~~to~~ to any accuracy]

$$\Delta E \Delta t \geq \frac{\hbar}{2}$$

From  $\Delta\omega \Delta t \geq \frac{\hbar}{2}$  and  $E = hf = \hbar\omega$

$\Delta t \Delta E \geq \frac{\hbar}{2}$  [time-energy uncertainty relation]

$\Delta t$  is uncertainty in a particle's energy and  $\Delta t$  is the time spent by a wave packet at some position.  
 $\Delta t$  can also be thought of as time it takes for the system to change substantially its energy  $\Delta E$ .

### Example 6.3

The position  $x$  of a 0.01-g pellet has been carefully measured and is known within  $\pm 0.5 \mu\text{m}$ . According to the uncertainty principle, what are the minimum uncertainties in its momentum and velocity, consistent with our knowledge of  $x$ ?

$$\frac{\Delta x \Delta p}{\text{mv}} = \frac{\hbar}{2\Delta x} \Rightarrow \Delta p \geq \frac{\hbar}{2\Delta x} = \frac{1.05 \times 10^{-34} \text{ J}\cdot\text{s}}{2 \cdot 0.5 \times 10^{-6} \text{ m}} \sim 10^{-28} \text{ kg}\cdot\frac{\text{m}}{\text{s}}$$

$$\Delta v = \frac{\Delta p}{m} \Rightarrow \frac{10^{-28} \text{ kg}\cdot\frac{\text{m}}{\text{s}}}{0.01 \times 10^{-3} \text{ kg}} \sim 10^{-25} \frac{\text{m}}{\text{s}}$$

### Example 6.4

An electron is known to be somewhere in an interval of total width  $a \approx 0.1 \text{ nm}$  (the size of a small atom). What is the minimum uncertainty in its velocity, consistent with this knowledge?

$$\Delta x \leq \frac{a}{2} \sim 0.05 \text{ nm} \quad \Delta p \geq \frac{\hbar}{a}$$

$$\Delta v = \frac{\Delta p}{m} \Rightarrow \frac{\hbar}{2ma} \approx \frac{\hbar}{ma} \sim \frac{1.05 \times 10^{-34} \text{ J}\cdot\text{s}}{9.11 \times 10^{-31} \text{ kg} \cdot 10^{-10} \text{ m}} \sim 1.15 \times 10^6 \frac{\text{m}}{\text{s}}$$

useful numbers

$$\hbar c \approx 200 \text{ eV}\cdot\text{nm}$$

$$hc \approx 1240 \text{ eV}\cdot\text{nm}$$

↳ This example shows that a particle confined in a small region cannot be exact at rest!

On average kinetic energy  $\langle K \rangle = \left\langle \frac{p^2}{2m} \right\rangle \gtrsim \left( \frac{\Delta p}{m} \right)^2 \sim \frac{\hbar^2}{2ma^2}$

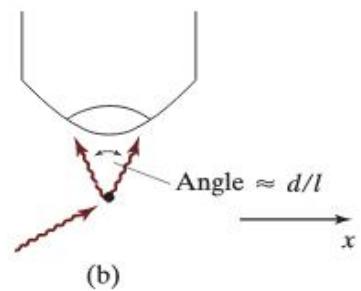
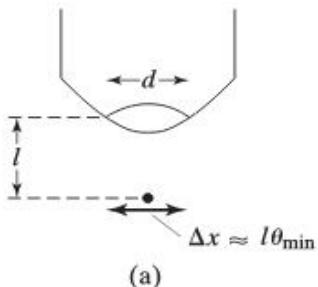
$\Rightarrow$  zero point energy

### Example 6.5

What is the minimum kinetic energy of an electron confined in a region of width  $a \approx 0.1 \text{ nm}$ , the size of a small atom?

$$\langle k \rangle \sim \frac{\hbar^2}{2ma^2} \sim \frac{(1.05 \times 10^{-34} \text{ J.s})^2}{2 \cdot 9.11 \times 10^{-31} \text{ kg} \cdot (10^{-10} \text{ m})^2} \approx 6.05 \times 10^{-19} \text{ J} \approx 3.78 \text{ eV}$$

Heisenberg's microscope — an thought. experiment



classical physicist tries to disprove uncertainty relation

① Find position  $x$  of particle → use microscope

whose resolution is limited by diffraction of light

$$\theta_{\min} \approx \frac{\lambda}{d} \quad \lambda: \text{wavelength of light}$$

$d$ : diameter of lens

$\Delta x$ : uncertainty in position

$$\Delta x \approx l \theta_{\min} \sim \frac{l \lambda}{d}$$

→ make  $\Delta x$  as small by using as small  $\lambda$ !

② momentum?

to measure speed: need to have light collide with particle

→ light scattered and direction is uncertain

by an angle  $\sim \frac{d}{l}$  →  $x$  component of photon  
uncertain  $(\frac{\hbar}{\lambda}) \frac{d}{l} \leq \Delta p_x$

want to make  $\Delta p_x$  small ⇒ large  $\lambda$ !

$$\text{So } \Delta p_x \Delta x \approx \hbar$$

cannot beat uncertainty principle.

Uncertainty principle also applies to other directions

$$\Delta y \Delta p_y \geq \frac{\hbar}{2}, \quad \Delta z \Delta p_z \geq \frac{\hbar}{2}$$

**Example 6.6**

Many excited states of atoms are unstable and decay by emission of a photon in a time of order  $\Delta t \approx 10^{-8}$  s. What is the minimum uncertainty in the energy of such an atomic state?

$$\Delta E \geq \frac{\hbar}{2\Delta t} \sim \frac{1.05 \times 10^{-34} \text{ J}\cdot\text{s}}{2 \cdot 10^{-8} \text{ s}} \sim \frac{5 \times 10^{-27} \text{ J}}{1.6 \times 10^{-19} \text{ eV}} \sim 3 \times 10^{-8} \text{ eV}$$